

Quantum Brownian  
Motion, Quantum Friction,  
Decoherence

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# Introduction & Contents

Some central problems of general theoretical physics

1) Meaning of QT, decoherence  $\leftrightarrow$  events;

emergence of space-time & causal structure

2) Origin of atomistic structure (in "quantization",

3) Foundation of TD in stat. mech. of open

systems; irreversibility

4) Foundations of transport theory – diffusive motion, friction, decoherence.

4) is focus of lecture

## Contents

1. Models

2. Mean-field limit

3. QBM ( $T > 0$ )

4. Friction ( $T \rightarrow 0$ )

5. Decoherence

6. Conclusions

# 1. Models

One (or several) NR  
particle(s) interacting  
 w. quantum gas; e.g., a  
 bosonic atom gas, or  
 phonons, photons at  
 temperature  $T > 0$ ;  $T \approx 0$ .

Particle moves

- i) in continuum  $E^3$ ;  
 Hilbert space  $\mathcal{H}_p = L^2(\mathbb{R}^3, dx)$
- ii) on lattice  $\mathbb{Z}^3$ ;

$$\mathcal{H}_p = \ell_2(\mathbb{Z}^3).$$

# Particle Hamiltonian

$$H_p = -\frac{1}{2M} \Delta + V(X),$$

where  $\Delta$  is

- i) cont. Laplacian; or
- ii) discrete Laplacian:  $\varepsilon$

Quantum gas:

Hilbert space  $\mathcal{H}_g \simeq \mathcal{F}_\beta$ ,

$\beta = (k_B T)^{-1}$ ,  $\mathcal{F}_{\beta=\infty} \simeq \text{Fock sp.}$

Hamiltonian

$$i) H_g = \int dx \left\{ \frac{1}{2m} (\nabla \psi^*)(x) (\nabla \psi)(x) \right. \\ \left. + \lambda \int dy [\psi^*(y) \psi(y) - \rho] \times \right. \\ \left. \varphi(y-x) [\psi^*(x) \psi(x) - \rho] \right\}$$

$\psi, \psi^*$  satisfy CCR;

$\lambda > 0$ ,  $\varphi$  of positive type.

$$H_I = g \int dx W(X-x) [\psi^*(x) \psi(x) - \rho]$$

when  $\lambda = 0$ :  $gW$  repulsive.

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$$ii) H_g = \bigoplus_{X \in \mathbb{Z}^3} (|X\rangle\langle X|) \int dk a_X^*(k) \omega(k) a_X(k)$$

$$a_X, a_X^*: \text{CCR}, \quad \omega(k) \stackrel{\text{e.g.}}{=} |k|;$$

$$H_I = g \sum_X |X\rangle\langle X| \int dk [a_X^*(k) \phi(k) + \text{h.c.}]$$

- One phonon reservoir; or
- at each site  $X \in \mathbb{Z}^3$ , an independent phonon res.

## 2. Mean-field limit, (i).

$$M_{\text{part.}} \mapsto M_N = NM$$

$$V(X) \mapsto V_N(X) = NV(X)$$

$$\rho \mapsto \rho_N = \frac{N}{g^2} \rho : \text{G.D.}$$

$$\lambda \mapsto \lambda_N = N^{-1} \lambda$$

$$1 \leq N < \infty, \quad N^{-1} \leftrightarrow \hbar$$

$$\text{Hamiltonian } H = H_p + H_g + H_I \\ \mapsto H_N = : NH^{(N)}$$

Schrödinger Eq.:

$$i \partial_t \Psi_t = H_N \Psi_t, \quad \Psi_t \in \mathcal{H}_p \otimes \mathcal{H}_g$$

$$\Leftrightarrow \frac{i}{N} \partial_t \Psi_t = \left( -\frac{1}{N^2} \frac{\Delta}{2M} + V(X) + \right. \\ \left. + N^{-1} H_g + N^{-1} H_I \right) \Psi_t$$

$$\psi^{\#}(x) =: \sqrt{N} \left( b_N^{\#}(x) + \frac{\sqrt{\rho}}{g} \right)^8$$

Then

$$[b_N^{\#}, b_N^{\#}] = 0, [b_N(x), b_N^*(y)] = \frac{1}{N} \delta(x-y),$$

$N \rightarrow \infty \leftrightarrow$  "class. limit"

We find that

$$H^{(N)} = -\frac{1}{N^2} \frac{\Delta}{2M} + V(X) + \mathcal{H}^{(N)}(X),$$

$$\begin{aligned} \mathcal{H}^{(N)}(X) = & \int dx \left\{ \frac{1}{2m} \nabla b_N^*(x) \cdot \nabla b_N(x) \right. \\ & + \left( g W(X-x) + \lambda \int dy [b_N^*(y) b_N(y) \right. \\ & + \frac{\sqrt{\rho}}{g} (b_N^*(y) + b_N(y))] \varphi(y-x) \cdot \\ & \left. \left. [b_N^*(x) b_N(x) + \frac{\sqrt{\rho}}{g} (b_N^*(x) + b_N(x))] \right\} \right\} \end{aligned}$$

3 variants of model (i): <sup>9</sup>

(1) B-model:  $\lambda = 0$ ,  $g \rightarrow 0$ ;  
 $gW$  repulsive;

(2) C-model:  $\lambda = 0$ ,  $g > 0$ ;  
 $gW$  rep.

(3) F-model:  $\lambda > 0$ ,  $gW$  arb.

Formal mean-field lim,  $N \rightarrow \infty$ .

$$\frac{i}{N} \nabla_x \rightarrow P, \quad b_N^\#(x) \rightarrow \beta^\#(x),$$

with Poisson brackets:

$$\{P_i, X^j\} = \delta_i^j, \quad \{\beta^\#, \bar{\beta}^\#\} = 0,$$

$$\{\beta(x), \bar{\beta}(y)\} = i\delta(x-y)$$

$$\text{Phase space: } \Gamma = \mathbb{R}^6 \times H^1(\mathbb{R}^3)$$

Classical Hamilton funct.

$$\mathcal{H}(P, X; \bar{\beta}, \beta) = \frac{P^2}{2M} + V(X) + \int dx \left\{ \frac{1}{2m} |\nabla \beta(x)|^2 + (gW(X-x) + \lambda \int dy [|\beta(y)|^2 + 2 \frac{\sqrt{\rho}}{g} \operatorname{Re} \beta(y)] \varphi(y-x)) [|\beta(x)|^2 + 2 \frac{\sqrt{\rho}}{g} \operatorname{Re} \beta(x)] \right\}$$

Classical Eqs. of motion:

$$u := X_t - x \leftrightarrow x = X_t - u.$$

$$\gamma_t(u) := \beta_t(X_t - u)$$

$$\frac{\partial \beta_t(x)}{\partial t} = \dot{\gamma}_t(u) + \dot{X}_t \cdot \nabla \gamma_t(u).$$

Then we find:

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$$(1) \quad \dot{X}_t = M^{-1} P_t, \\ \dot{P}_t = -(\nabla V)(X_t) - \int du \, g(\nabla W)(u) \\ \times [|\chi_t(u)|^2 + 2g^{-1}\sqrt{\rho} \operatorname{Re} \chi_t(u)] \\ \text{and} \quad \hookrightarrow F(\{\dot{X}_s\}_{s \leq t})$$

$$(2) \quad i\dot{\chi}_t(u) = \sqrt{\rho} W(u) - i\dot{X}_t \cdot \nabla \chi_t(u) \\ + \left(-\frac{\Delta}{2m} + gW(u)\right) \chi_t(u) \\ + \lambda \int dv [|\chi_t(v)|^2 + 2g^{-1}\sqrt{\rho} \operatorname{Re} \chi_t(v)] \\ * \varphi(v-u) [\chi_t(u) + g^{-1}\sqrt{\rho}]$$

$V \in C^\infty$ ,  $V \geq 0$ ,  $W, \varphi$  smooth & of short range,  $\lambda \geq 0$ ,  $\varphi$  of positive type;  $\chi_{t=0} \in H^1(\mathbb{R}^3)$ .

Then (1) & (2) have global-<sup>12</sup>  
in-time solutions  $\rightarrow$

canonical flow,  $\Phi_t$ , on  $\Gamma$ !

"Egorov Theorem" (rigorous  
for B- and C-model; - ?)

Let  $Q$  denote Wick quanti-  
zation:  $P \mapsto \frac{i}{N} \nabla_x$ ,  $\beta^\# \mapsto b_N^\#(x)$ ,  
followed by Wick ordering;  
 $F$ : (gauge-inv.) polynomial  
funct. on  $\Gamma$ .

Then

$$e^{itNH^{(N)}} Q(F) e^{-itNH^{(N)}} \Big|_{\mathcal{D}} \\ = Q(F \circ \Phi_t) \Big|_{\mathcal{D}} + "O\left(\frac{1}{N}\right)"$$

Hepp's variant:

$|X, P; \gamma\rangle_N$  : coherent state.

Then

$$e^{-itNH^{(N)}} |X_0, P_0; \gamma_0\rangle_N \simeq |X_t, P_t; \gamma_t\rangle_N + "O\left(\frac{1}{N}\right)"$$

Applications to friction & decoherence!

### 3. Quantum Brownian Motion

Model ii): Particle hopping on lattice  $\mathbb{Z}^3$ ;  $V(X) \equiv 0$ . Indep.

thermal reservoirs of phonon.

at each site  $x \in \mathbb{Z}^3$ ; creation-

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annihilation ops.  $a_x^*(k), a_x(k)$ ,  
dispersion  $\omega(k) = |k|$ ; inv.  
temp.  $\beta = (k_B T)^{-1}$ ; ( $\nearrow$  p. 6).

Rep. of lattice translations:

$$T_Z |X\rangle \langle Y| T_Z^{-1} = |X+Z\rangle \langle Y+Z|$$

$$T_Z a_x^{\#}(k) T_Z^{-1} = a_{x+Z}^{\#}(k)$$

$\rho_R^{\beta}$ : Gibbs state of free  
thermal res. at inv. temp.  $\beta$ ;

$\rho_R^{\beta}$  is translation inv.

Effective time evol. of particle.

$$\mathcal{Z}_t^g(P) := \rho_R^{\beta} [e^{itH_g}(P \otimes 1) e^{-itH_g}],$$

$P = P(x, y)$  a density matrix  
on  $\mathcal{H}_p$ .

Momentum-space rep.:

$$P(X, Y) = (2\pi)^{-3} \int_{T^3 \times T^3} dk dK e^{ik \cdot (X-Y)} e^{-iK \cdot \left(\frac{X+Y}{2}\right)} \hat{P}(k, K)$$

$$T_Z \hat{P}(k, K) T_Z^{-1} = e^{-iK \cdot Z} \hat{P}(k, K)$$

$Z_t^g$  commutes w. transl.  $T_Z \Rightarrow$   
preserves fibres w. fixed  $K$ !

"Phase space density" of part.:

$$\nu(k; Z) := (2\pi)^{-3/2} \int_{T^3} dK e^{-iK \cdot Z} \hat{P}(k, K)$$

Time evol. of  $\nu$  in kinetic lim.

$$\partial_t \nu_t(k; Z) = (\nabla \omega(k) \cdot \nabla_Z \nu_t)(k; Z)$$

(BE)

$$+ \int_{T^3} dk' [c^\beta(k', k) \nu_t(k', Z) - c^\beta(k, k') \nu_t(k, Z)]$$

det. by detailed balance

Solu.  $\nu_t$  of (BE)  $\simeq Z_{g^{-2}t}^g(\nu)$ , as  
 $g \rightarrow 0. \rightsquigarrow$  Construct expansion  
 around kinetic lim convergence  
 for small  $g$ ; ( $\nearrow$  W. DeR.)

## Results.

### (1) Equipartition.

$$\text{tr}_{\mathcal{H}_p} (Z_t^g(P) F(\varepsilon)) \xrightarrow{t \rightarrow \infty}$$

$$(Z_g^\beta)^{-1} \int dk [e^{-\beta \varepsilon(k)} + o(g^0)] F(\varepsilon(k)),$$

"return to equilibrium" for  
 fus. of particle momentum

### (2) Decoherence of part. veloc.

$$\text{tr}_{\mathcal{H}_p} [P \rho_R^\beta (\alpha_{t_1}^g(\nabla \varepsilon) \alpha_{t_2}^g(\nabla \varepsilon))] \xrightarrow{\min(t_1, t_2) \rightarrow \infty}$$

$$\rightarrow \psi^\beta(t_1 - t_2), \quad \text{w. } \int \psi^\beta(s) ds < \infty$$

$$\Rightarrow \langle 0 | X(t)^2 | 0 \rangle \underset{t \rightarrow \infty}{\sim} D_g t,$$

$D_g$ : diffusion constant;  $\overset{(i)}{>} 0!$

(3) Central limit theorem.

$$P_X = |X\rangle\langle X|, \text{ proj on } \delta_X.$$

$$\mu_t^g(X) := \text{tr}_{\mathcal{H}_p} (Z_t^g(P_0) P_X) \geq 0.$$

$$\sum_{X \in \mathbb{Z}^3} \mu_t^g(X) = 1.$$

Then

$$\underset{t \rightarrow \infty}{\mu_t^g(X)} \sim c t^{-3/2} \exp\left[-\frac{|X|^2}{2D_g t}\right]$$

Extension of results to model  
i) - one NR res. - feasible.

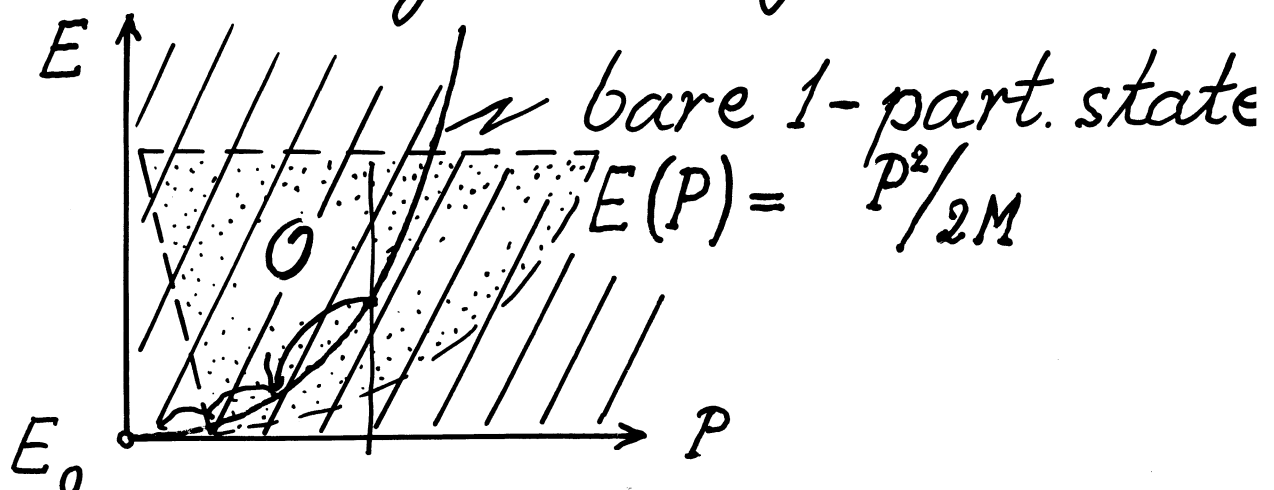
## 4. Quantum Friction

(E.g.,) model i) at  $T=0$ ;  
 (continuum; one reservoir of  
 NR bosonic atoms exhibi-  
 ting BEC;  $\lambda=0$ , i.e., B- or  
 C-model);  $V(X) \equiv 0 \rightarrow$

System is translation-inv.

$\Rightarrow H$  commutes w. total  
 momentum operator,  $P$ .

$\text{spec}(H, P)$  given by



# (1) Theorem (DeR, F, Pizzo)

Let  $g$  be c.c. (in  $H_I$ ). Then, for  $|g|$  small enough, dep. on  $\mathcal{O}$ , there do not exist any dressed 1-particle states  $w. (E(P), P) \in \mathcal{O}$ , (i.e., bare 1-part. states become unstable after interactions turned on).

→ no ballistic motion!

Quantum friction by emission of Cerenkov radiation.

Proof based on multi-scale virial theorem /  $D_2(T=0) = 0!$

(2) Model i) in mean-field limit ( $\nearrow$  part 2).

Eqs. of motion (1) & (2) ( $\nearrow$  p.11),  
for B- (and C-) model, i.e.,  
 $\lambda = 0$ , with  $V(X) = 0$ .

Initial conditions:  $X_{t=0} = X_0$ ,  
 $P_{t=0} = P_0$ ;  $\gamma_{t=0} \stackrel{\text{e.g.}}{\neq} 0$  (pure BEC).

Theorem (FSSS)

$$\left. \begin{array}{l} P_t \rightarrow 0, X_t \rightarrow X_\infty \\ \gamma_t \rightarrow \gamma_{X_\infty} \end{array} \right\} \text{ as } t \rightarrow \infty,$$

with  $\|\gamma_t\|_2 \sim \text{const. } t \rightarrow \infty$ ,

as  $t \rightarrow \infty$ .

$\gamma_{X_\infty}$ : splash around  $X_\infty$ .

Proof based on simple techniques of non-linear analysis, (diff. inequalities, etc.) Hamiltonian friction

## 5. Decoherence

Model i), as above, w. Bose gas of density  $\propto \rho \cdot N$ ,  $1 < N < \infty$  "large". Coherent states of exc. BEC,  $|\gamma\rangle_N$ , are eigenstates of annihilation ops.  $b_N(x)$ :

$$b_N(x)|\gamma\rangle_N = \gamma(X.-x)|\gamma\rangle_N,$$

$$|\langle\gamma|\gamma'\rangle_N| = \exp\left[-\frac{N}{2}\|\gamma-\gamma'\|_2^2\right]$$

For B- and C-model ( $\lambda=0$ ), by Hepp's theorem,

$$e^{-itNH^{(N)}} |X_0, P_0; \gamma_0\rangle_N = |X_t, P_t; \gamma_t\rangle_N + "O\left(\frac{1}{N}\right)",$$

where  $\{X_t, P_t; \gamma_t\}$  is solu. of eqs. (1) & (2) with initial cona  $\{X_0, P_0; \gamma_0\}$ .

By theorem in part 4, for  $X_0 = X'_0$ , but  $P_0 \neq P'_0$ ,  $\gamma_0 = \gamma'_0 = 0$ , it follows that  $X_\infty \neq X'_\infty$ .

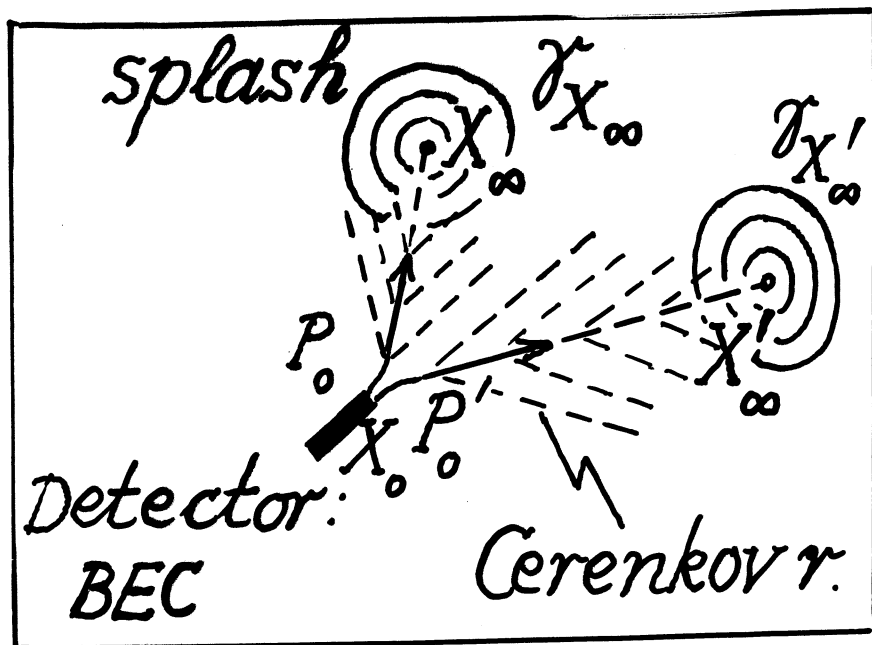
Then  $\|\gamma_t - \gamma'_t\|_2^2 = O(|X_\infty - X'_\infty|)$ ,

for  $|X_\infty - X'_\infty| \leq O(t)$ . Thus, for

$t \gg |X_\infty - X'_\infty|$ ,

$$\begin{aligned} & \left| \langle X_t, P_t; \gamma_t | X'_t, P'_t; \gamma'_t \rangle_N \right| \\ & \sim \exp[-\text{const. } N |X_\infty - X'_\infty|] \end{aligned}$$

- decoherence, for large  $N$  and  $t$  large enough.
- Particle - momentum measurement:



Has applications to "quantum theory of experiments", (next time).

## 6. Conclusions

Have sketched a mathematically coherent approach to decoherence, friction & diffusion in phys. realistic models of "open quantum systs."

→ Some understanding of irreversible behavior & of meaning of QM.

But: Sandro, we need you to solve rem. problems  
!

E.g. :

- (1) Diffusion of quantum particle coupled to single reservoir at  $T > 0$  ( $\rightarrow$  DeR.)
- (2) Details of dynamical picture of friction at  $T \approx 0$ , for finite  $N$
- (3) Stationary states when const. ext. force turned on  $\rightarrow$  Ohm's law ...
- (4) Non-perturbative results: large  $g$  ; Anderson model ...