

# Geometry of the unit disc.

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**Notation.**  $\mathbb{C}$  is the complex field;  $\mathbb{D} = \{z \in \mathbb{C} : |z| < 1\}$  is the unit disc;  $\mathbb{S} = \partial\mathbb{D}$  is the unit circle.

**Theorem 1** (*Schwarz' Lemma*). *Let  $f : \mathbb{D} \rightarrow \mathbb{D}$  be a holomorphic function and suppose that  $f(0) = 0$ . Then*

$$\forall z \in \mathbb{D} \quad |f(z)| \leq |z| \text{ and } |f'(0)| \leq 1. \quad (1)$$

*Moreover, if equality holds in (1) for some  $z \in \mathbb{D}$  or for the inequality involving  $f'(0)$ , then  $\exists v \in \mathbb{S} \forall z \in \mathbb{D} : f(z) = vz$ .*

**Proof.** Let  $r \in (0, 1)$  and let  $g_r(z) = f(rz)/z$ ,  $g_r : \mathbb{D} \rightarrow \mathbb{C}$  after removing the singularity in  $z = 0$ .  $g_r \in H(\mathbb{D}) \cap C(\overline{\mathbb{D}})$  and

$$|g_r(e^{i\theta})| = |f(re^{i\theta})| < 1 \quad \forall \theta \in \mathbb{R},$$

hence, by the Maximum Principle<sup>1</sup>,  $|g_r(z)| < 1$  for all  $z \in \mathbb{D}$ , i.e., for any fixed  $w \in \mathbb{D}$ ,

$$\frac{|f(z)|}{|z|} \leq \frac{1}{r}$$

whenever  $r > |z|$  and the first part of (1) follows. The second follows from the first and the definition of derivative,

$$f'(0) = \lim_{z \rightarrow 0} \frac{f(z)}{z}.$$

Suppose we have equality in the first inequality for some  $z_0 \in \mathbb{D}$  and let  $g = g_1$ . Then  $|g(z)| \leq 1$  on  $\mathbb{D}$  and  $g(z_0) = v$  with  $|v| = 1$ . Thus the open mapping fails for  $g$ , hence  $g$  is constant,  $g(z) = g(z_0) = v$ .

Consider now the case of equality in the second inequality. By Cauchy's formula, unless  $f$  is constant,

$$1 = |f'(0)| = \left| \frac{1}{2\pi i} \int_{|z|=r < 1} \frac{f(z)}{z^2} dz \right| \leq \frac{1}{2\pi} \int_0^{2\pi} |f(re^{i\theta})| \frac{d\theta}{r} < 1,$$

where the strict inequality comes from  $|f(z)| < |z|$ , and we have so reached a contradiction. ■

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<sup>1</sup>**Exercise.** Show that the Maximum Principle for holomorphic functions is a consequence of the Open Mapping Theorem.

**Exercise 2** Let  $\Omega \subset \mathbb{C}$  be a simply connected domain of  $\mathbb{C}$  and let  $f, g : \mathbb{D} \rightarrow \Omega$  be conformal (1-1, onto, holomorphic) maps of  $\mathbb{D}$  onto  $\Omega$ . Suppose that  $f(0) = g(0)$  and that  $\frac{f'(0)}{|f'(0)|} = \frac{g'(0)}{|g'(0)|}$ . Deduce that  $f = g$ .

A Möbius map of  $\mathbb{C}$  is any map  $\varphi$  having the form

$$\varphi(z) = \frac{az + b}{cz + d}, \quad a, b, c, d \in \mathbb{C}, \quad ad - bc \neq 0.$$

**Exercise 3** Show that any Möbius map is conformal and it sends straight lines and circles into straight lines and circles.

Show that the Möbius maps mapping  $\mathbb{D}$  onto itself are the ones having the form

$$\varphi(z) = \frac{e^{i\theta}z + a}{1 + \bar{a}e^{i\theta}z}, \quad \theta \in \mathbb{R}, \quad a \in \mathbb{D}. \quad (2)$$

Observe that  $\varphi(0) = a$  and  $\varphi'(0) = (1 - |a|^2)e^{i\theta}$ .

**Theorem 4** (i) The map  $\varphi$  in (2) is a conformal map of  $\mathbb{D}$  onto itself.

(ii)  $\varphi$  is the only conformal of  $\mathbb{D}$  onto itself such that  $\varphi(0) = a$  and  $\frac{\varphi'(0)}{|\varphi'(0)|} = e^{i\theta}$ .

(iii) Let the **Möbius group**  $\mathcal{M}$  be the set of the Möbius maps of  $\mathbb{D}$  having as product the composition of functions. Then  $\mathcal{M}$  is a Lie group of dimension 3 and  $(a, e^{i\theta}) \mapsto \varphi = \varphi_{a,\theta}$  is a 1-1 parametrization of  $\mathcal{M}$ .

**Exercise 5** Prove Theorem 4, or find a proof in a book of complex analysis.

We now look for a Riemannian geometry on  $\mathbb{D}$  which is invariant under the action of  $\mathcal{M}$ . Consider the Riemannian distance

$$ds^2 = \rho^2(z)|dz|^2$$

on  $\mathbb{D}$ , where the positive density  $\rho$  is our unknown<sup>2</sup>. Invariance under  $\mathcal{M}$  implies that, for  $a \in \mathbb{D}$ ,

$$\begin{aligned} \rho(z)|dz| &= \rho\left(\frac{z+a}{1+\bar{a}z}\right) \left|d\left(\frac{z+a}{1+\bar{a}z}\right)\right| \\ &= \rho\left(\frac{z+a}{1+\bar{a}z}\right) \frac{1-|a|^2}{|1+\bar{a}z|} |dz|. \end{aligned}$$

Letting  $z = 0$ , we have

$$\rho(a) = \frac{\rho(0)}{1-|a|^2}.$$

Conventionally we choose  $\rho(0) = 1$ , and this gives

$$ds^2 = \frac{|dz|^2}{(1-|z|^2)^2}. \quad (3)$$

The metric  $ds^2$  in (3) is called the *hyperbolic metric* in  $\mathbb{D}$ . By the calculation above it is invariant under Möbius maps  $\varphi_{a,0}$ . Invariance under the general

<sup>2</sup>Any such metric is *conformal* to the Euclidean metric on  $\mathbb{D}$ .

maps  $\varphi_{a,\theta} = \varphi_{a,0} \circ \varphi_{0,\theta}$  follows immediately, since  $\varphi_{0,\theta}$  is a Euclidean rotation around the origin.

Equipped with this metric,  $\mathbb{D}$  is *homogeneous* (we can move from point to point by isometries) and *isotropic* (given hyperbolic-unit vectors  $u$  and  $v$  at  $z \in \mathbb{D}$ , there is an isometry fixing  $z$  and whose differential takes  $u$  to  $v$ ).

**Exercise 6** Prove that, if  $f : \mathbb{D} \rightarrow \mathbb{D}$  is holomorphic, then  $f$  is a contraction for the hyperbolic metric:

$$d(f(z), f(w)) \leq d(z, w).$$

Moreover, if equality holds for some  $z, w \in \mathbb{D}$ , then  $f \in \mathcal{M}$ .

As a consequence of this exercise we have the *two-point Pick's property*.

**Proposition 7** Given two couple of points  $z_1, z_2 \in \mathbb{D}$  and  $w_1, w_2 \in \mathbb{D}$ , there exists a holomorphic  $f : \mathbb{D} \rightarrow \mathbb{D}$  such that  $f(z_j) = w_j$  if and only if  $d(w_1, w_2) \leq d(z_1, z_2)$ .

This is an interpolation problem with just two points  $z_1$  and  $z_2$ . The generalization of it to  $n$  points is called the Nevanlinna-Pick problem and it was solved early in the 20th century. The extension to function spaces other than that of the bounded holomorphic functions is nowadays a very active area of research [AMcC].

We can now compute distances and geodesics. We denote by  $d(z, w)$  the hyperbolic distance between  $z, w \in \mathbb{D}$ .

**Step 1.** Let  $r \in [0, 1)$ . Then

$$d(0, r) = \frac{1}{2} \log \left( \frac{1+r}{1-r} \right) = \operatorname{arctanh}(r).$$

The (only) geodesic passing through 0 and  $r$  is the intersection of the real line with  $\mathbb{D}$ .

**Proof.** Consider any absolutely continuous curve  $t \mapsto \alpha(t) + i\beta(t) = \gamma(t)$  joining 0 and  $r$  over the  $t$ -interval  $[0, 1]$ . Then,

$$\begin{aligned} \operatorname{length}(\gamma) &= \int_0^1 \sqrt{\frac{|\dot{\gamma}(t)|^2}{(1-|\gamma(t)|^2)^2}} dt \\ &\geq \int_0^1 \frac{|\dot{\alpha}(t)|}{1-|\alpha(t)|^2} dt \\ &\geq \int_0^r \frac{ds}{1-s^2} = \operatorname{arctanh}(r), \end{aligned}$$

and we have equality all the way when  $\gamma(t) = t/r$ . Uniqueness of the geodesic is easily proved. ■

**Step 2.** Let  $z, w \in \mathbb{D}$ . Then

$$d(z, w) = \frac{1}{2} \log \left( \frac{1 + \left| \frac{z-w}{1-\bar{w}z} \right|}{1 - \left| \frac{z-w}{1-\bar{w}z} \right|} \right) = \operatorname{arctanh} \left( \left| \frac{z-w}{1-\bar{w}z} \right| \right).$$

The (only) geodesic passing through  $z$  and  $w$  is an arc of a circle (or a segment of a straight line) which is orthogonal to  $\mathbb{S}$ .

**Proof.** It follows from Step 1 and conformal invariance of the metric. ■

**Exercise 8** (i) Let  $(X, d)$  be a metric space and let  $\Phi : \mathbb{R}^+ \rightarrow \mathbb{R}^+$  be a strictly increasing, concave function such that  $\Phi(0) = 0$ . Show that  $\delta = \Phi(d)$  is a metric on  $X$ .

(ii) Show that the pseudo-hyperbolic metric  $\delta$  on  $\mathbb{D}$ ,  $\delta(z, w) = \frac{|z-w|}{|1-z\bar{w}|}$  is in fact a metric.

(iii) Show that  $\delta$  satisfies the enhanced triangular inequality

$$\delta(z, w) \leq \frac{\delta(z, t) + \delta(t, w)}{1 + \delta(z, t)\delta(t, w)}.$$

**Hyperbolic balls.** Let  $r \in (0, 1)$ . The map  $z \in \frac{z-r}{1-rz} = \varphi(z)$  fixes the real geodesic  $\gamma = \mathbb{R} \cap \mathbb{D}$ , is orientation preserving on  $\gamma$  and (hence) has no fixed points. In fact,  $\varphi$  is isometrically equivalent (via a reparametrization of  $\gamma$ ) to a translation of size  $R = d(0, r)$  in the direction of the negative real half-axis.

Consider the hyperbolic ball  $B_h(\xi, \epsilon)$  of center  $\xi$  radius  $d$ . After a rotation, we can assume that  $\xi \in [0, 1)$ . By invariance,  $\varphi(B_h(r, \epsilon)) = B_h(0, \epsilon)$ . Hence, the equation defining  $B_h(r, \epsilon)$  is

$$\left| \frac{z-r}{1-rz} \right| \leq \tanh(\epsilon). \quad (4)$$

We denote by  $D(z_0, \delta)$  the Euclidean disc having center  $z_0$  and radius  $\delta$ .

**Proposition 9** Let  $c_0 < c_1 < 1$ . If  $Q \in \mathbb{D}$  is a region such that

$$D(z_0, c_0(1 - |z_0|)) \subset Q \subset D(z_1, c_1(1 - |z_1|)),$$

for some  $z_0, z_1 \in \mathbb{D}$ , then  $Q$  is an approximate hyperbolic ball.

More precisely, the first inequality implies that there is a hyperbolic ball of (hyperbolic) radius  $\epsilon(c_0)$  which only depends on  $c_0$  (and not on  $z_0$ ) which is contained in  $Q$  and the second inequality implies that the hyperbolic diameter of  $Q$  is bounded by a constant  $E(c_1)$  which only depends on  $c_1$ .

**Exercise 10** Deduce Proposition 9 from Lemma 11 below.

**Lemma 11** (i) All Euclidean balls whose closure is contained in  $\mathbb{D}$  are hyperbolic balls.

(ii) The hyperbolic ball  $B(r, \tanh(\epsilon))$  in (4) has the segment

$$\left[ \frac{r-\epsilon}{1-\epsilon r}, \frac{r+\epsilon}{1+\epsilon r} \right] \quad (5)$$

as one of its diameters, it has Euclidean radius and center, respectively,

$$\frac{\epsilon(1-r^2)}{1-r^2\epsilon^2}, \quad \frac{r(1-\epsilon^2)}{1-r^2\epsilon^2}.$$

The distance from  $B(r, \tanh(\epsilon))$  to  $\partial\mathbb{D}$  is

$$\frac{(1-r)(1-\epsilon)}{1+r\epsilon}.$$

**Proof.** (ii) is a calculation. In particular, if  $\psi(z) = \frac{z+\epsilon}{1+\epsilon z}$ , it is easy to see that a diameter of  $B(r, \tanh(\epsilon))$  must have the form  $[\psi^{-1}(r), \psi(r)]$ , and this provides a computationless proof of (5).

(i) it suffices to show that all intervals  $[a, b]$  with  $-1 < a < b < 1$  the form (5). Let

$$\varphi_r(\epsilon) = \frac{r - \epsilon}{1 - r\epsilon}.$$

We want to solve  $\varphi_r(\epsilon) = a$ ,  $\varphi_r(-\epsilon) = b$ . Observe that  $\varphi_r^{-1} = \varphi_r$ , hence  $\epsilon = \varphi_r(a)$ ,  $-\epsilon = \varphi_r(b)$ . First we find  $r \in (0, 1)$  so that  $\varphi_r(a) + \varphi_r(b) = 0$  (this is always possible if  $-1 < a, b < 1$ ), then we set  $\epsilon = \varphi_r(a)$ . ■

**Decomposition of  $\mathbb{D}$ .** The hyperbolic geometry is the intrinsic geometry underlying the Whitney decomposition of  $\mathbb{D}$ .

Introduce polar coordinates  $z = re^{i\theta}$ ,  $r \in [0, 1)$ ,  $\theta \in [0, 2\pi]$ . Consider the boxes

$$Q_{n,m} = \left\{ re^{i\theta} : 2^{n+1} \leq 1 - r \leq 2^n, \theta \in \left[ \frac{m-1}{2\pi 2^n}, \frac{m}{2\pi 2^n} \right] \right\},$$

where  $n \in \mathbb{N}$ ,  $1 \leq m \leq 2^n$ .

**Exercise 12** Show that the  $Q_{n,m}$ 's are approximate hyperbolic balls.

We call the  $Q_{n,m}$  *qubes*. They are essentially disjoint. To make them into a disjoint partition of  $\mathbb{D}$  we can modify them, e.g., by setting

$$\tilde{Q}_{n,m} = \left\{ re^{i\theta} : 2^{n+1} < 1 - r \leq 2^n, \theta \in \left[ \frac{m-1}{2\pi 2^n}, \frac{m}{2\pi 2^n} \right] \right\}.$$

We now introduce a graph  $G = (T, \sim)$  whose vertices are the qubes  $g \in T$  ( $T$  is the set of vertices), and such that there is an edge joining  $g, h \in G$  ( $g \sim h$ ) if the closures of the qubes  $g$  and  $h$  have nonempty intersection. We can make  $G$  into a metric space in the usual way. If  $g, h \in T$ , a *path of length  $n$*   $\gamma$  between  $g$  and  $h$  is a sequence  $t_0 = g, t_1, \dots, t_n = h$  such that  $t_{i-1} \sim t_i$ . The *distance*  $d_G(g, h)$  between  $g$  and  $h$  is the minimum  $n$  such that a path of length  $n$  joins  $h$  and  $g$ . For each  $z \in \mathbb{D}$ , let  $[z]$  be the qube in  $G$  such that  $z \in [z]$ . The map  $z \mapsto [z]$  is not even continuous (it can't:  $G$  is totally disconnected!). The following proposition says that this map establishes a *rough isometry* between  $(\mathbb{D}, ds)$  and  $(G, d_G)$ .

**Theorem 13** There are positive constants  $C_1, C_2$  such that

$$C_1(d_G([z], [w]) + 1) \leq d_G([z], [w]) + 1 \leq C_2(d(z, w) + 1).$$

In other words,  $(\mathbb{D}, ds)$  and  $(G, d_G)$  are biLipschitz equivalent at scale  $d = 1$ .

**Proof.** We prove the first inequality first. Let  $[z] = [z_0], [z_1], \dots, [z_n] = [w]$  be a path  $\Gamma$  between  $[z]$  and  $[w]$  in  $G$ . The path might be a single point  $[z_0]$  if  $z$  and  $w$  both belong to  $[z_0]$ . To  $\Gamma$  we associate a piecewise smooth curve  $\gamma$  between  $z$  and  $w$ . Let  $\gamma[\zeta, \xi]$  be the hyperbolic geodesic between  $\zeta, \xi \in \mathbb{D}$ . Then  $\gamma = \gamma[z_0, z_1] \cup \gamma[z_1, z_2] \cup \dots \cup \gamma[z_{n-1}, z_n]$ . If  $\Gamma$  reduces to a single point, then  $\text{length}(\gamma) \leq C$ . Generally,  $\text{length}(\gamma[z_{j-1}, z_j]) \leq C$ , since  $z_{j-1}$  and  $z_j$  belong to neighboring boxes, hence

$$d(z, w) \leq \text{length}(\gamma) \leq C \cdot \text{length}_G(\Gamma) + C.$$

Passing to the inf over  $\Gamma$  on the right, we obtain the desired inequality.

To prove the converse, let  $\gamma : [0, 1] \rightarrow \mathbb{D}$  be a path between  $z$  and  $w$ . Let  $K$  be a constant large enough to have that  $d(\zeta, \xi) \geq K \implies [\zeta] \not\sim [\xi]$ . Let  $z_0 = z$ ,  $t = 0 \in \mathbb{R}$  and let  $z_j = \gamma(t_j)$ , where

$$t_j = \inf \{t > t_{j-1} : d(\gamma(t), \gamma(t_{j-1})) > K\},$$

where the quantity on the right is set to be 1 if  $\forall t > t_{j-1} : d(\gamma(t), \gamma(t_{j-1})) \leq K$ . Now, we can find  $N$  points ( $N$  being a universal constant) such that there is path  $\Gamma_j$  in  $G$  having length at most  $N$  which joins  $[z_{j-1}]$  and  $[z_j]$ . Assume  $t_1 < 1$  and let  $\Gamma$  be the union of all these paths. Then,

$$d_G([z], [w]) \leq \text{length}_G(\Gamma) \leq C \cdot \text{length}(\gamma).$$

We are left with the possibility that  $t_1 = 1$ . In this case  $d_G(z, w) \leq C$ . Overall, after taking the infimum over all possible  $\gamma$ , we have the second inequality in the thesis. ■

Rough isometries were introduced by M. Kanai [Ka] and they have become a standard tool in the global analysis of manifolds.

The hyperbolic geometry of  $\mathbb{D}$  is the right geometric setting for thinking of positive harmonic functions.

**Theorem 14 (Harnack's inequality.)** *Let  $h : \mathbb{D} \rightarrow \mathbb{R}^+$  be a positive harmonic function. If  $z, w \in \mathbb{D}$ , then*

$$|\log h(z) - \log h(w)| \leq \log \frac{1 + \left| \frac{z-w}{1-\bar{z}w} \right|}{1 - \left| \frac{z-w}{1-\bar{z}w} \right|} = 2d(z, w). \quad (6)$$

*The inequality is sharp, in the sense that for any choice of  $z, w$  there is  $h$  such that equality holds in (6).*

**Proof.** By conformal invariance of harmonicity and of the *pseudo-distance*  $\left| \frac{z-w}{1-\bar{z}w} \right|$ , we can suppose that  $z = 0$  and that  $w = r \in [0, 1)$ . Fix  $R, r < R < 1$ . By Poisson integrals,

$$\begin{aligned} h(r) &= \int_{-\pi}^{\pi} h(Re^{i\theta}) \frac{R^2 - r^2}{|Re^{i\theta} - r|^2} \frac{d\theta}{2\pi} \\ &\leq \frac{R^2 - r^2}{(R - r)^2} \int_{-\pi}^{\pi} h(Re^{i\theta}) \frac{d\theta}{2\pi} \\ &= \frac{R - r}{R - r} h(0). \end{aligned}$$

Let  $R \rightarrow 1$ .

We have equality when  $h(z) = \frac{1-|z|^2}{|1-z|^2}$  is (essentially) the Poisson kernel. ■

In particular,

$$\frac{1}{4r} \leq \frac{h(z)}{h(w)} \leq 4r \quad (7)$$

when  $z, w$  belong to a hyperbolic ball of radius  $r$ .

**Exercise 15** Show that the hyperbolic distance in  $\mathbb{R}_+^2$  is given by

$$ds^2 = \frac{|dz|^2}{4x^2}.$$

Deduce a formula for the distance of two point  $z, w \in \mathbb{R}_+^2$  and the sharp form of Harnack's inequality.

**A proof of Harnack's inequality via Schwarz' Lemma.** Here is a short proof of Harnack's inequality. Let  $H^+$  be the right half plane  $Re(w) > 0$ . Schwarz' Lemma for a holomorphic function  $f = u + iv : \mathbb{D} \rightarrow H^+$  is

$$\frac{|df|}{2u} \leq \frac{|dz|}{1 - |z|^2}. \quad (8)$$

The Cauchy-Riemann equations give  $|f'| = |\nabla u|$ , hence, choosing a geodesic in  $\mathbb{D}$  as path of integration between  $z_1$  and  $z_2$ ,

$$\begin{aligned} d_{\mathbb{D}}(z_1, z_2) &\geq \int_{z_1}^{z_2} \frac{|dz|}{1 - |z|^2} \\ &= \int_{z_1}^{z_2} \frac{|f'(z)dz|}{2u(z)} \\ &= \int_{z_1}^{z_2} \frac{|\nabla u(z)| \cdot |dz|}{2u(z)} \\ &\geq \int_{z_1}^{z_2} \frac{|\nabla u(z) \cdot dz|}{2u(z)} \\ &= \left| \int_{z_1}^{z_2} \frac{du}{2u(z)} \right| \\ &= \frac{1}{2} |\log u(z_2) - \log u(z_1)|, \end{aligned}$$

which is Harnack's inequality.

Actually, one can prove that Schwarz' Lemma is equivalent to an enhanced version of Harnack's inequality. Let start with Schwarz' Lemma for  $f = u + iv$  from  $\mathbb{D}$  into  $H^+$ . Pushing forward to  $H^+$  the hyperbolic metric in  $\mathbb{D}$ , we find that

$$d_{H^+}(w_1, w_2) = \frac{1}{2} \log \frac{1 + \left| \frac{w_1 - w_2}{\bar{w}_2 + w_1} \right|}{1 - \left| \frac{w_1 - w_2}{\bar{w}_2 + w_1} \right|}.$$

Schwarz' Lemma says that

$$d_{\mathbb{D}}(z_1, z_2) \geq d_{H^+}(f(z_1), f(z_2)). \quad (9)$$

Let

$$D = \left| \frac{z_1 - z_2}{1 - \bar{z}_2 z_1} \right|.$$

Standard manipulation shows that (9) implies

$$D^2 \geq \left| \frac{f(z_1) - f(z_2)}{f(z_1) + f(z_2)} \right|^2$$

$$= \frac{[u(z_1) - u(z_2)]^2 + [v(z_1) - v(z_2)]^2}{[u(z_1) + u(z_2)]^2 + [v(z_1) - v(z_2)]^2}.$$

After rearranging, this inequality becomes:

$$[v(z_1) - v(z_2)]^2 \leq \frac{D^2}{1 - D^2} [u(z_1) + u(z_2)]^2 - \frac{1}{1 - D^2} [u(z_1) - u(z_2)]^2. \quad (10)$$

Now, replacing the LHS of (10) by 0, we obtain an inequality which is exactly equivalent to Harnack's inequality, hence we can view (10) as a sharper version of Harnack's. Observe that here we have a pointwise estimate for  $v$ , the function conjugate to  $u$ .

On the other hand, if we let  $z_j = re^{i\theta_j}$  in (10), divide both sides of the inequality by  $(\theta_1 - \theta_2)^2$  and let  $\theta_2 \rightarrow \theta_1$ , we obtain (8), which is equivalent to Schwarz' Lemma.

A more suggestive form of (10) can be obtained by adding  $[u(z_1) - u(z_2)]^2$  to both sides of the inequality:

$$|f(z_1) - f(z_2)|^2 \leq \frac{4|z_1 - z_2|^2}{(1 - |z|^2)(1 - |w|^2)} u(z_1)u(z_2).$$

**Exercise 16** Show that there is  $C > 0$  such that, whenever  $h$  is a function harmonic in  $\mathbb{D}$  and such that  $0 < h < 1$  in  $\mathbb{D}$ , then the inequality

$$\log \left( \frac{1}{h(z)(1 - h(w))} \right) \leq C(d(z, w) + 1)$$

holds for all  $z, w \in \mathbb{D}$ .

**Hint (1).** Consider the function  $f$  which conformally maps  $\mathbb{D}$  onto  $S = \{W : 0 < Imw < 1\}$ :

$$w = f(z) = \frac{1}{\pi} \log \left( \frac{1+z}{1-z} + \frac{1}{2} \right).$$

Then, use Schwarz' Lemma as above.

**Hint. (2).** Let  $z \in \mathbb{D}$  be a point such that  $h(z) \leq \epsilon$  and  $w \in \mathbb{D}$  such that  $1 - h(w) \leq \delta$ . Fix a hyperbolic diameter  $D$  such that  $\frac{h(\xi)}{h(\zeta)} \leq 2$  if  $d(\xi, \zeta) \leq R$ . Let  $\mathcal{C} = (B_1, B_2, \dots, B_n)$  be a chain of such balls ( $B_i \cap B_{i+1} \neq \emptyset$ ), with  $z \in B_1$  and  $w \in B_n$ . Show that  $Cn \geq \log \frac{1}{\epsilon\delta}$ . (This second argument has the advantage that it can be transferred to the higher dimensional case).

**The Bloch and the Dirichlet spaces.** The holomorphic maps of  $\mathbb{D}$  into itself contract the hyperbolic metric. It is natural to ask which holomorphic maps from  $\mathbb{D}$  to  $\mathbb{C}$  are Lipschitz w.r.t. the hyperbolic metric in  $\mathbb{D}$  and the Euclidean metric in  $\mathbb{C}$ .

**Theorem 17** The following properties are equivalent for a holomorphic function from  $\mathbb{D}$  to  $\mathbb{C}$ :

(i) There exists  $L > 0$  s.t.  $|f(z) - f(w)| \leq L \cdot d(z, w)$ .

(ii) We have that

$$\sup_{z \in \mathbb{D}} |(1 - |z|^2)f'(z)| = \|f\|_{\mathcal{B}} < \infty.$$

Moreover,  $\|f\|_{\mathcal{B}}$  is the smallest value of  $L$  for which (i) holds.



If (i) or (ii) hold, we say that  $f$  belongs to the *Bloch space*  $\mathcal{B}$ .

**Proof.** Here is a sketch (**exercise:** fill in the details). To prove that (i) implies (ii), use the fact that

$$\lim_{w \rightarrow z} \frac{d(z, w)}{|z - w|} = \frac{1}{1 - |z|^2}.$$

To show the opposite implication, integrate

$$|f'(t)| \leq \frac{\|f\|_{\mathcal{B}}}{1 - |t|^2}$$

along all curves joining  $z$  and  $w$ . ■

We can interpret  $(1 - |z|^2)|f'(z)|$  as the ratio between the hyperbolic radius of an infinitesimal ball  $\beta$  in  $\mathbb{D}$  and the Euclidean radius of its image, the ball  $f(\beta)$ . More specifically,

$$\lim_{r \rightarrow 0} \sup_{w: d(w, z) = r} \frac{|f(w) - f(z)|}{r} = \lim_{r \rightarrow 0} \inf_{w: d(w, z) = r} \frac{|f(w) - f(z)|}{r} = (1 - |z|^2)|f'(z)|. \quad (11)$$

**Exercise 18** *Prove (11).* **Hint:**Show it for  $z = 0$ , then "move the statement around"  $\mathbb{D}$  by means of Möbius maps.

Let  $dA(z) = dx dy$  be the Lebesgue measure on  $\mathbb{D}$ . Let  $f$  be holomorphic in  $\mathbb{D}$ . The *Dirichlet semi-norm* of  $f$  is

$$\|f\|_{\mathcal{D}}^* = \left( \int_{\mathbb{D}} |f'(z)|^2 dA(z) \right)^{1/2}. \quad (12)$$

Observe that the seminorm defined in (12) is conformally invariant:  $\|f\|_{\mathcal{D}}^* = \|f \circ \psi\|_{\mathcal{D}}^*$  whenever  $\psi$  is an automorphism<sup>3</sup> of  $\mathbb{D}$ . In fact,

$$\|f\|_{\mathcal{D}}^* = \left( \int_{\mathbb{D}} [(1 - |z|^2)|f'(z)|]^2 \frac{dA(z)}{(1 - |z|^2)^2} \right)^{1/2},$$

and  $(1 - |z|^2)|f'(z)|$  is, as we saw before, conformally invariant, while  $\frac{dA(z)}{(1 - |z|^2)^2}$  is conformally invariant, being the measure associated with the hyperbolic metric. The space  $\mathcal{D}$  of the functions  $f$  for which  $\|f\|_{\mathcal{D}}^*$  is called the *Dirichlet space*. To make the seminorm into a norm, we let

$$\|f\|_{\mathcal{D}} = \|f\|_{\mathcal{D}}^* + |f(0)|.$$

An extension of the Dirichlet space is given by the (diagonal) *analytic Besov spaces*  $B_p$ ,  $1 < p < \infty$ :

$$\|f\|_{B_p}^* = \left( \int_{\mathbb{D}} [(1 - |z|^2)|f'(z)|]^p \frac{dA(z)}{(1 - |z|^2)^2} \right)^{1/p}.$$

Clearly,  $\|f\|_{B_p}^* = \|f \circ \psi\|_{B_p}^*$  and  $\mathcal{D} = B_2$ .

The analytic Besov spaces are the holomorphic counterparts of the Sobolev spaces.

<sup>3</sup>i.e., a Möbius map: introduce the terminology at the appropriate place.

## References

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