# On the use of group equivariant non-expansive operators for topological data analysis and geometric deep learning

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## Outline

The key role of observers in data analysis

Topological and metric basics for the theory of GENEOs

Compactness and convexity of the space of GENEOs

Links between GENEOs and TDA

Methods to build GENEOs

How can we use GENEOs in applications?

## The key role of observers in data analysis

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# Data can be often regarded as functions

Some examples of data that can be seen as functions:

- An electrocardiogram (a function from  $\mathbb{R}$  to  $\mathbb{R}$ );
- A gray-level image (a function from  $\mathbb{R}^2$  to  $\mathbb{R}$ );
- A computerized tomography (CT) scan (a function from a helix to  $\mathbb{R}$ ).







## Data in our model

In our model data are described by real-valued or vector-valued functions defined on a set X.

We will denote by  $\Phi$  the set of admissible data, i.e., the set of functions that can be interpreted as signals to be processed.

It is important to note that only some functions describe admissible data: for example, functions representing grayscale images should be bounded. If this does not happen, the function is not usually interpreted as a representation of an image.

## Data equivalence w.r.t. a group of permutations

What do the expressions "data equivalence" and "data similarity" mean in our setting?

Two functions  $\varphi_1, \varphi_2 : X \to \mathbb{R}$  are **equivalent** with respect to a group G of permutations on X if a  $g \in G$  exists, such that  $\varphi_1 = \varphi_2 \circ g$ .

Two functions  $\varphi_1, \varphi_2 : X \to \mathbb{R}$  are **similar** with respect to a group G of permutations on X if a  $g \in G$  exists, such that  $\|\varphi_1 - \varphi_2 \circ g\|_{\infty}$  is small.

These observations lead us to define the concept of *natural* pseudo-distance with respect to the group G.

# The natural pseudo-distance $d_G$

Let X and G be a topological space and a subgroup of the group  $\operatorname{Homeo}(X)$  of all homeomorphisms from X to X, respectively. Let us assume that  $\varphi_1, \varphi_2$  are two continuous and bounded functions from X to  $\mathbb{R}$ , and consider the value  $\inf_{g \in G} \|\varphi_1 - \varphi_2 \circ g\|_{\infty}$ .

This value is called the *natural pseudo-distance*  $d_G(\varphi_1, \varphi_2)$  between  $\varphi_1$  and  $\varphi_2$  with respect to the group G.

(We recall that a pseudo-distance is just a distance d without the assumption that  $d(x_1, x_2) = 0$  implies  $x_1 = x_2$ .)

We could look at  $d_G$  as the ground truth in data comparison, when data equivalence is expressed by the group G.

# The natural pseudo-distance $d_G$

If G is the trivial group Id, then  $d_G$  is the max-norm distance  $\|\varphi_1 - \varphi_2\|_{\infty}$ . Moreover, if  $G_1$  and  $G_2$  are subgroups of Homeo(X) and  $G_1 \subseteq G_2$ , then

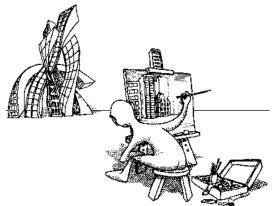
$$d_{\text{Homeo}(X)}(\varphi_1, \varphi_2) \le d_{G_2}(\varphi_1, \varphi_2) \le d_{G_1}(\varphi_1, \varphi_2) \le \|\varphi_1 - \varphi_2\|_{\infty}$$

for every  $\varphi_1, \varphi_2 \in C^0(X, \mathbb{R})$ .

We usually restrict  $d_G$  to  $\Phi \times \Phi$ , where  $\Phi$  is a bounded subset of  $C^0(X,\mathbb{R})$ .

# Data are processed by observers

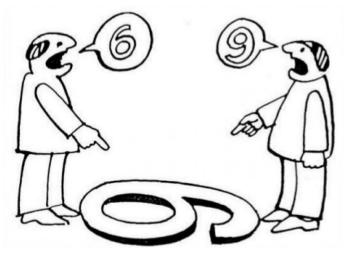
Data have no meaning if no observer elaborates them.



An observer is an agent that transforms data into other data.

# Observers are variables in data analysis

Data interpretation strongly depends on the chosen observer:



# The pair (data, observer)

We are hardly ever interested directly in the data, but in the relationship between the data and the observer. What interests us above all is the behavior of the observer.



For example, a patient is usually not interested in the picture of her skin lesion, but in the diagnosis her dermatologist can make from this image. The cases in which the interest in data seems central are those in which the observers' way of reacting is tacitly shared.

# Observers are often more important than data

Data analysis strongly depends on the chosen observer. If data analysis were not dependant on the chosen observer, then physicians' diagnoses would always be identical, scientists would always see the same causes for each phenomenon, and all people would agree in judging who the heroes and villains in a movie or a political event are.

It is indeed well known that different agents can have different reactions in the presence of the same data, and this suggests that data analysis should study the pairs (data, observer) instead of data alone.

All this leads to privilege the study of the "form of observers" over the study of the "form of data".

## Observers are often associated with invariance groups

Observers often think that some data are equivalent to each other, according to an invariance group.



The group G is not established once and forever: when the observer changes, G changes too.



# Observers can be seen as equivariant operators

Observers are structures able to change data into other data, and usually do that by respecting some data equivalences, i.e., by commuting with some transformations.

As a first approximation, observers can be represented as group equivariant operators (GEOs).

In this talk we will give some results on the theory of **Group Equivariant Non-Expansive Operators** (**GENEOs**).

Why "non-expansive"?
Because

- observers are often assumed to simplify the metric structure of data in order to produce meaningful interpretations;
- 2. non-expansiveness guarantees good topological properties.

## Summary of our epistemological assumptions

Our mathematical model is based on these assumptions:

- The space of observers is often more important than the space of data.
- The study of the space of observers requires the development of a new topological-geometric model.
- This new model could be of great use in data analysis, when the role of the observers is not negligible.

These assumptions suggest we move from Topological Data Analysis to what we could call **Topological Observer Analysis**.

The key role of observers in data analysis

## Topological and metric basics for the theory of GENEOs

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# All begins with the space of admissible functions

Let X be a nonempty set. Let  $\Phi$  be a topological subspace of the set  $\mathbb{R}^X_b$  of all bounded functions  $\varphi$  from X to  $\mathbb{R}$ , endowed with the topology induced by the metric

$$D_{\Phi}(\varphi_1,\varphi_2):=\|\varphi_1-\varphi_2\|_{_{\infty}}.$$

We can see X as the space where we can make our measurements, and  $\Phi$  as the space of all possible measurements. We will say that  $\Phi$  is the set of admissible functions. In other words,  $\Phi$  is the set of all functions from X to  $\mathbb R$  that can be produced by our measuring instruments (or by other observers). For example, a gray-level image can be represented as a function from the real plane to the interval [0,1] (in this case  $X=\mathbb R^2$ ).

## Perception pairs

Let us consider a group G of bijections  $g: X \to X$  such that  $\varphi \in \Phi \implies \varphi \circ g \in \Phi$  for every  $\varphi \in \Phi$ . We say that  $(\Phi, G)$  is a perception pair.

The choice of a perception pair states which data can be considered as legitimate measurements (the functions in  $\Phi$ ) and which group represents the equivalence between data (the group G).

To proceed, we need to introduce suitable topologies on X and G. Before doing that, we recall that the initial topology  $\tau_{\rm in}$  on X with respect to  $\Phi$  is the coarsest topology on X such that every function  $\varphi$  in  $\Phi$  is continuous.

## A pseudo-metric on X

Let us define on *X* the pseudo-metric

$$D_X(x_1,x_2) = \sup_{\varphi \in \Phi} |\varphi(x_1) - \varphi(x_2)|.$$

 $D_X$  induces a topology  $\tau_{D_X}$  on X.

#### Theorem

The topology  $\tau_{D_X}$  is finer than the initial topology  $\tau_{in}$  on X with respect to  $\Phi$ . If  $\Phi$  is totally bounded, then  $\tau_{D_X}$  coincides with  $\tau_{in}$ .

The use of  $D_X$  implies that we can distinguish two points only if a measurement exists, taking those points to different values.

# A pseudo-metric on X

The following properties are of use in our model.

## Theorem

Every function in  $\Phi$  is non-expansive, and hence continuous.

#### Theorem

If  $\Phi$  is compact and X is complete, then X is compact.

# Some magic happens: each bijection is an isometry

Let  $\operatorname{Bij}(X)$  be the group of all bijections from X to X, and denote by  $\operatorname{Bij}_{\Phi}(X)$  the subgroup of all  $g \in \operatorname{Bij}(X)$  such that  $\varphi \circ g \in \Phi$  and  $\varphi \circ g^{-1} \in \Phi$  for every  $\varphi \in \Phi$ . Let  $\operatorname{Homeo}(X)$  be the group of all homeomorphisms from X a X with respect to  $D_X$ , and denote by  $\operatorname{Homeo}_{\Phi}(X)$  the subgroup of all  $g \in \operatorname{Homeo}(X)$  such that  $\varphi \circ g \in \Phi$  and  $\varphi \circ g^{-1} \in \Phi$  for every  $\varphi \in \Phi$ . Let  $\operatorname{Iso}(X)$  be the group of all isometries from X a X, and denote by  $\operatorname{Iso}_{\Phi}(X)$  the subgroup of all  $g \in \operatorname{Iso}(X)$  such that  $\varphi \circ g \in \Phi$  and  $\varphi \circ g^{-1} \in \Phi$  for every  $\varphi \in \Phi$ .

## Proposition

$$\operatorname{Bij}_{\Phi}(X) = \operatorname{Homeo}_{\Phi}(X) = \operatorname{Iso}_{\Phi}(X).$$

## A pseudo-metric on *G*

Let us now focus our attention on a subgroup G of  $\mathrm{Homeo}_{\Phi}(X)$ .

We can define a pseudo-metric  $D_G$  on G by setting

$$D_G(g_1,g_2) := \sup_{\varphi \in \Phi} D_{\Phi}(\varphi \circ g_1, \varphi \circ g_2).$$

#### **Theorem**

G is a topological group with respect to  $D_G$  and the action of G on  $\Phi$  by right composition is continuous.

#### Theorem

If  $\Phi$  is compact and G is complete, then G is compact.

## **GEOs and GENEOs**

Each pair  $(\Phi, G)$  with  $G \subseteq \operatorname{Homeo}_{\Phi}(X)$  is called a *perception pair*.

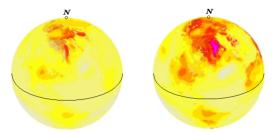
Let us assume that two perception pairs  $(\Phi, G)$ ,  $(\Psi, H)$  are given, and fix a group homomorphism  $T: G \to H$ .

Each function  $F: \Phi \to \Psi$  such that  $F(\varphi \circ g) = F(\varphi) \circ T(g)$  for every  $\varphi \in \Phi, g \in G$  is called a *Group Equivariant Operator (GEO)* associated with the homomorphism T.

If F is also non-expansive (i.e.,  $D_{\Psi}(F(\varphi_1), F(\varphi_2)) \leq D_{\Phi}(\varphi_1, \varphi_2)$  for every  $\varphi_1, \varphi_2 \in \Phi$ ), then F is called a *Group Equivariant Non-Expansive Operator (GENEO)* associated with the homomorphism T.

## An example of GENEO

Let us assume to be interested in the comparison of the distributions of temperatures on a sphere, taken at two different times:



Let us also assume that only two opposite points N, S can be localized on the sphere.

## An example of GENEO

Let us introduce two perception pairs  $(\Phi, G), (\Psi, H)$  by setting

- $X = S^2$
- $\Phi = \text{set of 1-Lipschitz functions from } S^2 \text{ to a fixed interval } [a, b]$
- $G = \text{group of rotations of } S^2 \text{ around the axis } N S$  and
- Y =the equator  $S^1$  of  $S^2$
- $\Psi$  = set of 1-Lipschitz functions from  $S^1$  to [a,b]
- $H = \text{group of rotations of } S^1$

## An example of GENEO

This is a simple example of GENEO from  $(\Phi, G)$  to  $(\Psi, H)$ :

- T(g) is the rotation  $h \in H$  of the equator  $S^1$  that is induced by the rotation g of  $S^2$ , for every  $g \in G$ .
- $F(\varphi)$  is the function  $\psi$  that takes each point y belonging to the equator  $S^1$  to the average of the temperatures along the meridian containing y, for every  $\varphi \in \Phi$ ;

We can easily check that F verifies the properties defining the concept of group equivariant non-expansive operator with respect to the isomorphism  $T: G \to H$ .

In plain words, our GENEO simplifies the data by transforming "temperature distributions on the earth" into "temperature distributions on the equator".

The key role of observers in data analysis

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# Two key results (and two good news for applications)

Let us assume that a homomorphism  $T:G\to H$  has been fixed. Let us define a metric  $D_{\text{GENEO}}$  on  $\text{GENEO}((\Phi,G),(\Psi,H))$  by setting

$$D_{\mathrm{GENEO}}(F_1, F_2) := \sup_{\varphi \in \Phi} D_{\Psi}(F_1(\varphi), F_2(\varphi)).$$

#### **Theorem**

If  $\Phi$  and  $\Psi$  are compact, then GENEO( $(\Phi, G), (\Psi, H)$ ) is compact with respect to  $D_{\text{GENEO}}$ .

## **Theorem**

If  $\Psi$  is convex, then GENEO( $(\Phi, G), (\Psi, H)$ ) is convex.

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## GENEOs restrict the invariance of TDA

The use of GENEOs allows us to restrict the invariance of TDA to subgroups of the group  $\operatorname{Homeo}_{\Phi}(X)$ . Let  $\mathscr F$  be a set of GENEOs from a perception pair  $(\Phi,G)$  to a perception pair  $(\Psi,H)$ , with respect to a fixed homomorphism  $T:G\to H$ . Call X the domain of the functions in  $\Phi$ , and Y the domain of the functions in  $\Psi$ . Then, for every degree k we can define a new pseudo-metric  $\mathscr D^{\mathscr F,\Phi}_{\mathrm{match}}$  by setting

$$\mathscr{D}_{\mathrm{match}}^{\mathscr{F}, \Phi}(\varphi_1, \varphi_2) = \sup_{F \in \mathscr{F}} d_{\mathrm{match}}(\mathrm{Dgm}_k(F(\varphi_1)), \mathrm{Dgm}_k(F(\varphi_2)))$$

where  $\operatorname{Dgm}_k(F(\varphi_1))$  and  $\operatorname{Dgm}_k(F(\varphi_2))$  are the persistence diagrams in degree k of the functions  $F(\varphi_1), F(\varphi_2)$ , respectively.

The pseudo-distance  $\mathscr{D}^{\mathscr{F},\Phi}_{\mathrm{match}}$  is strongly invariant with respect to G and stable with respect to the natural pseudo-distance  $d_G$ .

 $\mathscr{D}_{\text{match}}^{\mathscr{F},\Phi}$  allows to restrict the invariance group of persistent homology from  $\text{Homeo}_{\Phi}(X)$  to G. This is of use in applications.

# GENEOs and PH allow to approximate the natural pseudo-distance

#### **Theorem**

Let us assume that every function in  $\Phi$  is non-negative, the k-th Betti number of X does not vanish, and  $\Phi$  contains at least the constant functions c for which a function  $\varphi \in \Phi$  exists such that  $0 \le c \le \|\varphi\|_{\infty}$ . Let  $\mathscr{F}(\Phi,G)$  be the set of all GENEOs from  $\Phi$  to  $\Phi$  associated with the identical homomorphism from G to G. Then

$$\mathscr{D}_{\mathrm{match}}^{\mathscr{F}(\Phi,G),\Phi}=d_{G}$$

This theorem allows us to approximate  $d_G$  by approximating  $\mathscr{D}^{\mathscr{F}(\Phi,G),\Phi}_{\text{match}}$  via a discretization of  $\mathscr{F}(\Phi,G)$ .

# The operator taking $\varphi$ to $\mathrm{Dgm}(\varphi)$ is a GENEO

In some sense, the operator taking each regular function to a suitable representation of its persistence diagram can be seen as a GENEO.

To see that, let us make these assumptions:

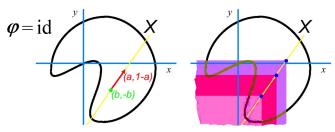
- Φ is the set of all Morse functions from a closed regular manifold M to [0,1].
- G is the group of all self-diffeomorphisms of M.
- $\Psi$  is the set of all functions  $\sum_i f(\|x p_i\|_{\infty})$ , where  $\{p_i\}_i$  is a finite subset of the extended plane and f is a tent function.
- H is the trivial group containing only the identity of  $\mathbb{R}^2$ .
- $T: G \rightarrow H$  is the trivial homomorphism.

Then the operator taking each function  $\varphi \in \Phi$  to the function  $\sum_i f(\|x - p_i\|_{\infty})$  is a GENEO from  $\Phi$  to  $\Psi$  with respect to T, provided that  $\{p_i\}_i$  is the set of the nontrivial points in  $\mathrm{Dgm}(\varphi)$ .

## GENEOs interact with multiparameter PH

If we have a bifiltration given by a function  $\varphi = (\varphi_1, \varphi_2) : X \to \mathbb{R}^2$ , we can consider a unit vector (w.r.t.  $\|\cdot\|_1$ ) w = (a, 1-a) with a positive slope, and a point P = (b, -b). Every choice of P and w defines a filtration  $\{X_t\}$  of X, where  $X_t$  is the set of points of X whose image by  $\varphi$  is both under and on the left of the point P + tw.

As a consequence, each choice of P and w defines a persistence diagram.



## GENEOs interact with multiparameter PH

If we set (x,y) = P + tw = (at + b, (1-a)t - b) and

$$arphi_{(a,b)}(p) := \max\left\{rac{arphi_1(p)-b}{a}, rac{arphi_2(p)+b}{1-a}
ight\}$$

then  $X_t := \{ p \in X : \varphi_1(p) \le x, \varphi_2(p) \le y \} = \{ p \in X : \varphi_{(a,b)}(p) \le t \}$ . As a consequence, the filtration  $\{X_t\}$  of X leads us to consider the

persistence diagram  $\operatorname{Dgm}_k(\varphi_{(a,b)})$ .

To get stability we have to replace  $\varphi_{(a,b)}$  with

$$\varphi_{(a,b)}^*(p) := \min\{a,1-a\} \cdot \varphi_{(a,b)}(p).$$

It is indeed well known that, for each degree k, the matching distance

$$\mathbb{D}_{\mathrm{match},k}\left(\varphi,\psi\right) := \sup_{(a,b) \in ]0,1[\times \mathbb{R}} d_{\mathrm{match}}\left(\mathrm{Dgm}_{k}(\varphi_{(a,b)}^{*}),\mathrm{Dgm}_{k}(\psi_{(a,b)}^{*})\right)$$

is stable.

## GENEOs interact with multiparameter PH

In summary, the definition of the matching distance between two bifiltrations  $\varphi, \psi: X \to \mathbb{R}^2$  of the topological space X can be seen as the supremum of the classical bottleneck distance between the persistence diagrams associated with the filtrations  $F_{a,b}(\varphi), F_{a,b}(\psi): X \to \mathbb{R}$ , where the operator  $F_{a,b}$  is defined by setting

$$\begin{split} F_{a,b}(\phi) &= \phi_{(a,b)}^* \\ &= \max \left\{ \frac{\min\{a,1-a\}}{a} \cdot (\phi_1-b), \frac{\min\{a,1-a\}}{1-a} \cdot (\phi_2+b) \right\}. \end{split}$$

INTERESTING FACT: The operator  $F_{a,b}$  is a GENEO for any value of a and b (provided that we consider the natural extension of the concept of GENEO to operators acting on vector-valued functions).

## GENEOs can be compared by means of TDA

Persistent homology can indeed be used to define a computable and stable pseudo-metric  $\Delta_{\text{GENEO},k}$  between GENEOs by setting

$$\Delta_{\mathrm{GENEO},k}(F_1,F_2) := \sup_{\varphi \in \Phi} d_{\mathrm{match}}\left(\mathrm{Dgm}_k(F_1(\varphi)),\mathrm{Dgm}_k(F_2(\varphi))\right)$$

for every  $F_1, F_2 \in GENEO((\Phi, G), (\Psi, H))$ .

## Remark

Persistent homology also gives a shortcut to compare elements of each equivariance group G, by the pseudo-distance

$$\Delta_{G,k}(g_1,g_2) := \sup_{\varphi \in \Phi} d_{\mathrm{match}}\left(\mathrm{Dgm}_k(\varphi \circ g_1),\mathrm{Dgm}_k(\varphi \circ g_2)\right).$$

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## Elementary methods to build GENEOs

#### Proposition (Composition)

If 
$$F_1 \in \text{GENEO}((\Phi, G), (\Psi, H))$$
 w.r.t.  $T_1 : G \to H$  and  $F_2 \in \text{GENEO}((\Psi, H), (\chi, K))$  w.r.t.  $T_2 : H \to K$  then  $F_2 \circ F_1 \in \text{GENEO}((\Phi, G), (\chi, K))$  w.r.t.  $T_2 \circ T_1 : G \to K$ .

#### Proposition (Image by a 1-Lipschitz function)

If 
$$F_1, \ldots, F_n \in \text{GENEO}((\Phi, G), (\Psi, H))$$
 w.r.t.  $T : G \to H$ ,  $L$  is a 1-Lipschitz map from  $\mathbb{R}^n$  to  $\mathbb{R}$ , and  $L^*(F_1, \ldots, F_n)(\Phi) \subseteq \Psi$  (where  $L^*$  is the map induced by  $L$ ), then  $L^*(F_1, \ldots, F_n) \in \text{GENEO}((\Phi, G), (\Psi, H))$  w.r.t.  $T$ .

The next three statements follow from the last proposition.

### Elementary methods to build GENEOs

#### Proposition (LATTICE OF GENEOS)

If 
$$F_1, \ldots, F_n \in \text{GENEO}((\Phi, G), (\Psi, H))$$
 w.r.t.  $T: G \to H$  and  $\max(F_1, \ldots, F_n)(\Phi), \min(F_1, \ldots, F_n)(\Phi) \subseteq \Psi$ , then  $\max(F_1, \ldots, F_n), \min(F_1, \ldots, F_n) \in \text{GENEO}((\Phi, G), (\Psi, H))$  w.r.t.  $T$ .

### Proposition (Translation)

If 
$$F \in \text{GENEO}((\Phi, G), (\Psi, H))$$
 w.r.t.  $T : G \to H$ , and  $F_b(\Phi) \subseteq \Psi$  for  $F_b(\phi) := F(\phi) - b$ , then  $F_b \in \text{GENEO}((\Phi, G), (\Psi, H))$  w.r.t.  $T$ .

### Proposition (Convex combination)

If 
$$F_1, \ldots, F_n \in \text{GENEO}((\Phi, G), (\Psi, H))$$
 w.r.t.  $T: G \to H$ ,  $(a_1, \ldots, a_n) \in \mathbb{R}^n$  con  $\sum_{i=1}^n |a_i| \le 1$  and  $F_{\Sigma}(\Phi) \subseteq \Psi$  for  $F_{\Sigma}(\phi) := \sum_{i=1}^n a_i F_i(\phi)$ , then  $F_{\Sigma} \in \text{GENEO}((\Phi, G), (\Psi, H))$  w.r.t.  $T$ .

#### Permutant measures

Let us consider the set  $\Phi = \mathbb{R}^X \cong \mathbb{R}^n$  of all functions from a finite set  $X = \{x_1, \dots, x_n\}$  to  $\mathbb{R}$ , and a subgroup G of the group  $\operatorname{Bij}(X)$  of all permutations of X.

#### Definition

A finite (signed) measure  $\mu$  on  $\operatorname{Bij}(X)$  is called a *permutant measure* with respect to G if every <u>subset</u> H of  $\operatorname{Bij}(X)$  is measurable and  $\mu$  is invariant under the conjugation action of G (i.e.,  $\mu(H) = \mu(gHg^{-1})$  for every  $g \in G$ ).

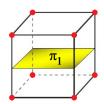
#### Proposition

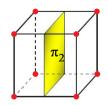
If  $\mu$  is a permutant measure with respect to G, then the map  $F_{\mu}: \mathbb{R}^{X} \to \mathbb{R}^{X}$  defined by setting  $F_{\mu}(\phi) := \sum_{h \in \operatorname{Bij}(X)} \phi h^{-1} \mu(h)$  is a linear GEO.

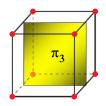
# An example of permutant measure

Let us consider the set X of the vertices of a cube in  $\mathbb{R}^3$ , and the group G of the orientation-preserving isometries of  $\mathbb{R}^3$  that take X to X. Let  $\pi_1, \pi_2, \pi_3$  be the three planes that contain the center of mass of X and are parallel to a face of the cube. Let  $h_i: X \to X$  be the orthogonal symmetry with respect to  $\pi_i$ , for  $i \in \{1,2,3\}$ .

We can now define a permutant measure  $\mu$  on the group  $\mathrm{Bij}(X)$  by setting  $\mu(h_1) = \mu(h_2) = \mu(h_3) = c$ , where c is a positive real number, and  $\mu(h) = 0$  for any  $h \in \mathrm{Bij}(X)$  with  $h \notin \{h_1, h_2, h_3\}$ .







# Building GENEOs by permutant measures

The following representation theorem holds.

#### **Theorem**

Let us assume that  $G \subseteq \operatorname{Bij}(X)$  transitively acts on the finite set X and that F is a map from  $\mathbb{R}^X$  to  $\mathbb{R}^X$ . The map F is a linear GENEO from  $\mathbb{R}^X$  to  $\mathbb{R}^X$  with respect to the identical homomorphism  $\operatorname{id}_G\colon g\mapsto g$  if and only if a permutant measure  $\mu$  with respect to G exists, such that  $F(\phi)=\sum_{h\in\operatorname{Bij}(X)}\phi h^{-1}$   $\mu(h)$  for every  $\phi\in\mathbb{R}^X$ , and  $\sum_{h\in\operatorname{Bij}(X)}|\mu(h)|\leq 1$ .

Further details can be found in this preprint:

G. Bocchi, S. Botteghi, M. Brasini, P. Frosini and N. Quercioli, *On the finite representation of group equivariant operators via permutant measures* https://arxiv.org/pdf/2008.06340.pdf

The key role of observers in data analysis

Topological and metric basics for the theory of GENEOs

Compactness and convexity of the space of GENEOs

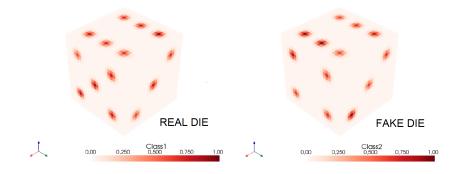
Links between GENEOs and TDA

Methods to build GENEOs

How can we use GENEOs in applications?

# What happens when we apply GENEOs to our data?

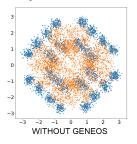
An example of use: comparison between real dice and fake dice.

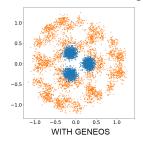


(Experiment and computations by Giovanni Bocchi)

# What happens to data when we apply GENEOs?

We produced 10000 dice (a training set of size 7000 and a test set of size 3000), then we applied PCA to the test set and to the test set transformed by a suitable GENEO, optimized on the training set:





For each die the first two principal components are plotted. Blue points are associated with **real dice**, while orange ones with **fake dice**. The GENEO we use was built by a convex combination of 3 GENEOs defined by permutant measures.

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# A real application: finding pockets in proteins

GENEOnet: A new machine learning paradigm based on Group Equivariant Non-Expansive Operators. An application to protein pocket detection. https://arxiv.org/ftp/arxiv/papers/2202/2202.00451.pdf

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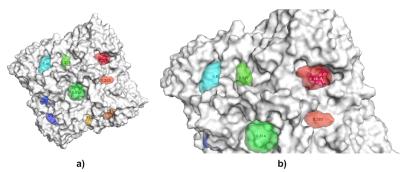
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# A real application: finding pockets in proteins



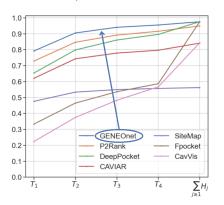
**Model predictions for protein 2QWE**. In Figure a) the global view of the prediction is shown, where different pockets are depicted in different colors and are labelled with their scores. In Figure b) the zoomed of the pocket containing the ligand is shown.

The search for the pockets was carried out by identifying an optimal GENEO in the convex hull of 8 GENEOs (each focused on a particular property of the pockets).

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## A real application: finding pockets in proteins

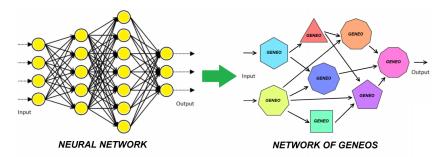
Here are the results of our experiments:



Please note that GENEOnet uses 17 parameters, while a CNN such as DeepPocket requires 665122 parameters.

## The main point in our approach

In perspective, we are looking for a good compositional theory for building efficient and transparent networks of GENEOs. Some preliminary experiments suggest that replacing neurons with GENEOs could make deep learning more transparent and interpretable and speed up the learning process.



# Open questions

- How can we approximate a real observer (let us say, e.g., a physician) by GENEOs, in order to emulate her behaviour with respect to data?
- Can we devise constructive procedures, allowing us to build any possible GENEO with respect to a given equivariance group?
- What is the right way of comparing GENEOs in a topological-statistical setting?
- How should we select representative sets in a probability space of GENEOs?
- How can we predict the behaviour of networks of GENEOs and control their actions?
- How can we evaluate advantages and limits of an approach to data analysis based on the interaction of GENEOs and TDA?

#### SOME REFERENCES

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   Machine Intelligence, vol. 1, n. 9, 423–433 (2 September 2019)
- F. Conti, P. Frosini, N. Quercioli, *On the construction of Group Equivariant Non-Expansive Operators via permutants and symmetric functions*, **Frontiers in Artificial Intelligence**, vol. 5 (2022), 1-11
- G. Bocchi, P. Frosini, A. Micheletti, A. Pedretti, C. Gratteri, F. Lunghini, A. R. Beccari, C. Talarico, GENEOnet: A new machine learning paradigm based on Group Equivariant Non-Expansive Operators. An application to protein pocket detection, 2022 https://arxiv.org/abs/2202.00451

