

Without light ... we don't see much of our scene! Without shading, objects do not look three dimensional!



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IL PRESENTE MATERIALE È RISERVATO AL PERSONALE DELL'UNIVERSITÀ DI BOLOGNA E NON PUÒ ESSERE UTILIZZATO AI TERMINI DI LEGGE DA ALTRE PERSONE O PER FINI NON ISTITUZIONALI



#### Color, depth and photorealism..



#### From the geometric model..... Toward its realistic visualization



## Lighting

- In real world the colour of the objects that we see is the result of the incident light which hits the objects and of the way the object surface reflects the light.
- <u>Wrong assumption</u>: each surface is colored with a single color-> the object appear flat
- Many gradations or shades of color give 2D images the appearance of being 3D







### **Two Components of Lighting**

When we look at a point on an object, the color that we see is determined by multiple interactions between light sources and reflective surfaces.

Light Sources:

- Emittance spectrum (colour)
- Geometry (position and colour)
- Directional attenuation

#### Surface Properties:



- Reflectance spectrum (colour)
- Geometry (position, orientation, and micro-structure)
- Absorption



## **Real World Lighting**

- Light sources emit photons.
- The incoming light from light sources is partially absorbed, partially reflected and partially refracted
- The reflected light defines the object color: if only green is reflected, red and blue are absorbed, then object appears green



• Reflectance - a fraction of the incident light that is reflected

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#### From conservation of energy:

Light incident at surface = reflected + absorbed + transmitted/refracted



- **Opaque object** the majority of incident light is either the reflected or absorbed (transmitted light 0)
- Translucent object significant light transmission
   We do not consider: absorption, transmission, diffraction



### **Lighting models**

Empirical: simple approximations to observed phenomena. Physically-based: model actual physics of light

- Rendering Equation: consider a global energy balance, it is a model of the conservation of energy that describe how light intercats with materials
- Empirical model: we follow rays of lights from a point sources that reach the viewer both directly, and by interacting with reflecting surfaces in the scene



To determine the color of a point we need to model

the light sources in the scene
the reflection properties of the surface (material)





### Local and Global Models

- Local model: the color of a surface element depends only on light from light sources and ignores effects of all other objects in the scene
  - pro: scene can be rendered much faster
  - con: pay a price in lost realism; lose interesting effects of light transport





#### **Local and Global Models**

- **Global model:** the color of a surface element depends on the light from light sources and from light bounces off other objects toward our surface element
- This is not compatible with a rendering pipeline





## **Light-Material Interactions**

The light reflected depends on the surface material

How the object surface reflects the light

Specular: light perfectly reflected along one reflection direction Gloss (Lucide): mixed, partial specularity Diffuse: light scattered into all directions



specular + gloss + diffuse = reflectance distribution



#### **Material Properties**





### **Material Properties**

K<sub>a</sub> ambient

$$K_{a,d,s,e}$$
 in [0,1]

• K<sub>d</sub> diffuse - diffuse reflection coefficient:

Defines the fraction of light scattered into all directions, Defines the color of the surface

- K<sub>s</sub> specular specular reflection coefficient:
   Defines the fraction of light reflected near the mirror reflection direction
- K<sub>e</sub> emissive
- n<sub>s</sub> specular reflection exponent

(grado di specularità per superfici parzialmente speculari)



### **Light Sources**

Each light in the scene needs to have its type specified (position, direction) as well as any other relevant properties:

<u>color</u> (RGB), <u>energy</u> (light intensity)





## 1) Ambient Light

Represents a fixed-intensity light source that hits all objects equally

- Used to uniformly brighten/darken the entire scene
- Simulate the indirect light
- Coming from all directions
- Useful as a "hacky" model for indirect lighting so shadows aren't completely







- Point (positional) light source P=[x,y,z,1]
- By default, radiate in all directions with constant intensity (lamp)



Point light located near the vase

Point light located at the viewer position, there are no shadows





## 3) Directional light



Lights all objects equally, but only from a specified direction

- Located infinitely far away
- Simulate distant light sources (Sun)
- Specified as a vector P=[x,y,z,0]





## 4) Spotlight (luce riflettore)

Light emitted from a single point in space

- Spreads outwards in a cone whose apex is at  $P_s$ , which points in the direction  $I_s$ , and whose width is determined by an angle *teta*.
- Angular subset of a point light

The light intensity is concentrated in the center of the cone and it drops off towards (ϑ,-ϑ) (exponent of attenuation of a spotlight)





### Illumination model (Reflection Model)

- Different materials reflect light in different patterns
- The way a particular material reflects light is referred to as a *reflection model*
- The reflection model takes the direction and color of the incident light coming from a particular light source and computes what color of light is going to be reflected in the direction of the camera
- Phong's local illumination model



#### Phong's local illumination model (Phong Bui-Tuong 1975)

The model only considers light coming directly from light sources (called *direct light*) Sum of three components:

diffuse reflection +specular reflection +"ambient"
+ emissive

Phong's Equation at a surface point:

$$I_{\lambda} = k_e + I_a k_a + I_d k_d + I_s k_s$$
  
$$0 \le k_e, k_a, k_d, k_s \le 1$$







# Lighting: Emissive component

- Light sources: sun, fire, light bulbs etc.
- Objects can emit light by self-emission
- The object is a point light source that emits light uniformly in all directions
- ke emissive coefficient

(luminosità intrinseca dell'oggetto)

$$I = k_e \qquad 0 \le k_e \le 1$$

# Lighting: Ambient component

- Ambient light component accounts for non-specific global light (indirect light from other objects) scattered in all directions, to guarantee a minimum uniform light level in the scene
- It does not depends on the viewer position or surface position, it is constant

$$I = I_a k_a \qquad 0 \le k_a \le 1$$

 $I_a$  ambient intensity, identical at every point in the scene, and it is the same at every point on the surface. Some of this light is absorbed and some is reflected. The amount reflected is given by  $k_a$  ambient reflection coefficient and is characteristic of a given material

## Lighting: Diffuse component

 An ideal diffuse surface is, at the microscopic level, a very rough surface.

- Example: chalk, clay, some paints, wood

Amount of light reflected from a point on the surface is equal in all directions



Surface



### Lighting: Diffuse component

- Reflection dependent on
  - orientation of surface
  - light source position
- light is reflected equally in all directions
- The brightness is independent of the viewing direction (camera position)



Surface



#### Lighting: Diffuse component

Ideal diffuse reflectors reflect light according to

Lambert's cosine law. Lambert's law determines how much of the incoming light energy is reflected. Reflected intensity:

$$I_{\lambda} = I_{d}k_{d} = k_{d} \left[ I_{\lambda} \cos \theta \right] =$$
$$= k_{d} (l \cdot n) I_{\ell}$$

- heta Angle between normal and light direction
- $I_{\ell}$  Source light intensity
- $k_d$  Material diffuse coefficient







 For the Lambert's law the *reflected intensity* increases for angles approach to zero.

Lambert's Cosine Law

• Angle in [-90,90];

 $I_{\lambda} = k_d \max(0, l \cdot n) I_{\ell}$ 

- <u>if the dot product is negative</u>, indicating that the light is on the wrong side of the surface, we clamp it to zero
- For point light the vector I changes from point to point on the surface
- For directional light is constant

X Surface

## Lighting: Specular component

- Highlights that we see reflected from shiny objects
- An ideal mirror surface reflects the light in the ideal reflection direction only.
- Ideal mirror reflector

the light is reflected in the ideal reflection direction **r**, which is obtained by reflecting **I** (light direction) with respect to **n** (surface normal)

- Examples: mirrors, highly polished metals, plastics.







#### View dependent

#### How much light is reflected?

Depends on the angle between the ideal reflection direction r and the viewer direction v. Max  $\varphi=0$  (r=v) and it decreases for increasing angle  $\varphi$ .



$$I_{\lambda} = I_{s}k_{s} = k_{s}I_{\ell}\cos^{n_{s}}\varphi = k_{s}I_{\ell}(v \cdot r)^{n_{s}}$$



### **Non-ideal Reflectors**

Specular: simulates a highlight

**HIGHLIGHT** (bright spot) in shiny surface: area which reflects the incident light colour and is not affected by the material colour



Real materials tend to deviate significantly from ideal mirror reflectors because of microscopic surface variations.

We might expect some of the light to be reflected just slightly offset from the ideal reflected ray.





- The reflected light is scattered near the ideal reflection direction – Highlight is blurry
- Specular lobe: The farther away the viewing direction v is from the reflection direction r, the less light reflected is visible (the highlight descress)



#### Shininess coefficient or Phong's exponent n<sub>s</sub>

As  $n_s$  is increasing, the reflected light is concentrated in a narrower region centered on the perfect reflector







As  $n_s$  goes to infinity, we get a mirror,  $n_s$  in [100,500] metallic surfaces,  $n_s < 100$  broad highlights









#### **Putting it all together..** The complete Phong Illumination Model

### $I_{\lambda} = I_a k_a + I_l [k_d \cos \theta + k_s \cos^{n_s} \varphi]$





#### Diffuse



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#### Specular

# Extend the Phong's model

To account for multiple lights, we just sum up the contribution from each individual light

$$I_{\lambda} = I_a k_a + \sum_{l=1}^{lights} I_l [k_d (n \cdot l) + k_s (v \cdot r)^{n_s}]$$

Extend to colored light source and object

$$I_{\lambda r} = I_{a_{r}}k_{a} + \sum_{l=1}^{lights} I_{l_{r}}[k_{dr}(n \cdot l) + k_{s}(v \cdot r)^{n_{s}}]$$

$$I_{\lambda g} = I_{a_{g}}k_{a} + \sum_{l=1}^{lights} I_{l_{g}}[k_{dg}(n \cdot l) + k_{s}(v \cdot r)^{n_{s}}]$$

$$I_{\lambda b} = I_{a_{b}}k_{a} + \sum_{l=1}^{lights} I_{l_{b}}[k_{db}(n \cdot l) + k_{s}(v \cdot r)^{n_{s}}]$$

The specular reflected coefficient Ks is constant for white light


## **Attenuation term**

For point lights:

- To account for attenuation of the intensity light as the light travels a distance s from the source to the surface,
- Attenuation is inversely proportional to the quadratic of the distance

$$I_{\lambda} = I_a k_a + \sum_{l=1}^{lights} \frac{I_l}{s^2} [k_d (n \cdot l) + k_s (v \cdot r)^{n_s}]$$



#### Determination of the Reflected Vector

#### Calculate **r** from **n**, **l** via



(twice the projection of **I** onto **n**)

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A

n

θ

Surface



### Blinn-Torrance Variation of Phong

In Phong shading, one must continually recalculate the dot product (*r*,*v*). If instead one uses the "halfway vector" **h** between I and **v**:  $h = \frac{l+v}{\|l+v\|}$ 

then the reflected light in Phong's model is given by





# Lighting vs shading

**Illumination:** transport of luminous flux from light sources via direct & indirect paths.

**Lighting (per vertex)**: process of computing the luminous intensity (i.e., outgoing light) at a particular 3-D point, usually on a surface. On a curved surface the lighting changes from point to point

**Shading (per fragment)**: assigning pixel colour. (How the lighting is used to color the pixels)



### Geometry stage: Lighting/Shading



#### Lighting

Before Projection Transform which could deforme normal vectors; done in VCS coord.

Shading In rasterization a color per pixel is computed by interpolating the colors of the vertices



# Shading for polygons

- Shading Models give a technique to determine the colors of all the pixels covered by a surface using appropriate illumination model
- IDEAL:
  - determine surface visible at each pixel
  - compute normal of the surface
  - evaluate light intensity and color using an illumination model

This is quite expensive!

 REAL: approximate with shading.
 if surface defined as mesh of polygonal facets, which surface points should we use ?





- Compute lighting at one vertex per polygon, use same value for every pixel in polygon
- obviously inaccurate for smooth surfaces



# Flat Shading Approximations

- if an object really <u>is</u> faceted, is this accurate?
- no! It supposes constant l,n,v on a polygon
  - KO for point sources, the direction to light I varies across the facet (OK for directional light)
  - KO for specular reflectance, direction to eye v varies across the faces
     (OK viewer at infinity parallel proj.)









# Improving Flat Shading

- what if evaluate Phong lighting model at each pixel of the polygon?
  - better, but result still clearly faceted
- we introduce vertex normals at each vertex
  - usually different from facet normal
  - used only for shading
  - Target: make a better approximation of the *real* surface that the polygons approximate







# **Vertex Normals**

- vertex normals may be
  - provided with the model
  - computed exactly on the model (es. Spline)
  - approximated by averaging the normals of the facets that share the vertex



# Approximate the vertex normals



Assume to know which faces share a given vertex



# **Gouraud Shading**

- most common approach, and what OpenGL does
  - Normals are computed at the vertex (computed in VCS)
  - Intensity at each vertex is calculated using the normal and an illumination model (Phong lighting)
  - In rasterization stage: for each polygon the intensity values for the interior pixels edge: mix of  $c_1$ ,  $c_2$ ,  $C_1$  are calculated by linear interpolation of the intensities at the vertices

does this eliminate the facets?

interior: mix of *c1, c2, c3* 

edge: mix of *c1, c3* 

# Gouraud Shading Artifacts

#### (I) MISSING HIGHLIGHT and SPOTLIGHT effects

- The surface is dark;
- Might miss specular highlights, if the highlight doesn't fall at the vertex. if the highlight is included, will be averaged over entire polygon





# Gouraud Shading Artifacts

#### (II) Mach banding EFFECT





The normal vector is the same for the vertices C1 and C2 in both triangles

*Discontinuity in rate of color change occurs here* 





# Gouraud Shading Artifacts

#### **Interpolation of colors in Screen Space**

- Linear interpolation is performed in screen space and it does not correspond to linear interpolation in WCS!
- Perspective projection alters the linear interpolation!





# **Phong Shading**

- Normals are calculated for each vertex.
- Vectors are then interpolated across the face.
- Apply Phong's light model at every pixel inside face using interpolated normal vector
  - Better handling of specularities
  - Slower than Gouraud shading
- Not built into OpenGL

**Interpolated Normals** 





# **Phong Shading**

linearly interpolate the vertex normals

 apply Phong's light model at every pixel
 (Specular reflections are also incorporated)





# **Phong Shading Difficulties**

- computationally expensive
- per-pixel vector normalization and lighting computation!
- floating point operations required
- lighting after perspective projection
- no **direct** support in hardware
  - Apply using shader

# PHONG Shading ARTIFACTS

#### Silhouettes

polygonal silhouettes remain



Gouraud

Phong



# **PHONG Per-fragment shading**

- Vertex shader
  - -compute vectors: **n,I,v** in VCS for vertices
- Rasterizer (generates fragment): interpolate values at vertices to produce values per pixel
- Fragment shader (lighting computation)
   -apply Phong's light model to pixels



- Flat (uses actual triangle normals)
- Gouraud

(uses vertex normals, one lighting evaluation per vertex)

Phong

(uses vertex normals, one lighting evaluation per pixel)



#### Increase the number of faces



### Flat shading



Foley Van Dam



### **Gouraud shading**





## **Phong shading**





- Lighting and shading are computed using direct light
- That is we ignore effects due to:
  - Shadows for occlusions
  - Intensity of the shadows (points in shadows that receive light from other objects)
  - Light reflected by other objects
- Recursive reflection, Refract (Translucent objects) and shadows are only obtained by global illumination models



The shadow for an object depends on the object shape and on the position of the light source.

- Depth cue
- Scene Lighting
- Realism
- Contact points











# Soft and hard shadow





# Soft and hard shadow

- Soft Shadow contains both **umbra** than **penumbra**
- Point lights produce only HARD Shadows SOLUTION 1 Simulate area lights with lots of point lights Expensive SOLUTION 2 Blur shadows in image space Cheap, inaccurate







#### 1) Planar Shadows

#### 2) Shadow Maps

# 3) Shadow Volumes–Stencil Buffer



- Draw the object primitives a second time, projected to the ground plane
- The point light source is the Center of Projection (COP)







# 1) Shadows on Planar Surfaces (Blinn 1988)



Assume a single object on a plane

Draw the projection of the object onto the plane;

shadow polygon: it is the projection of the polygon on the surface (es. z=0) with COP in the light point L.



### 1) Shadows on Planar Surfaces (Blinn 1988)

For each vertex of the object  $P(x_p, y_p, z_p)$ , a shadow ray passes from the point light  $L(x_l, y_l, z_l)$ to the plane intersecting the shadow polygon in  $S(x_s, y_s, 0)$ 

Compute the vertices of the *shadow polygon* and rending it as an added object with dark color.





 Does not produce self-shadows, shadows cast on other objects, shadows on curved surfaces, etc.







### 2) Shadow Map Algorithm (Williams 1978)

- A point is lit if it is visible from the light source
- Shadow computation similar to view computation (hidden surface removal)
- There are not shadows if the viewer coincides with the unique light point there.
   (shadows are hidden to the light source)



SVI.

Ŵ.







### 2) Shadow Map Algorithm

- It needs a buffer (**shadow z-buffer**) for each light source.
- Procedure uses 2 passes through the pipeline:
- **STEP 1: compute shadow map** (depth from light source) first rendering of the scene using the light source as view reference point; store the result in the shadow Z-buffer
  - (Depth image of visible polygons from light source)
- STEP 2: Render final image second rendering of the scene using Z-buffer algorithm and checking shadow map to see if points are in shadow



### Shadow Maps: step 1

Render scene from light's perspective






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- Render scene from viewer's perspective
- For every pixel
  - Transform to light source space
  - Compare distance to value in shadow map



- Render scene from viewer's perspective
- For every pixel

If the point  $(x,y,z)_{ws}$  is visible from the camera, convert  $(x,y,z)_{ws}$  in  $(x',y',z')_{LS}$  point coord. in light source frame with origin at the source light;

- If z' > shadow\_z-buffer(x',y') then another surface is closer to the light source with respect to the considered point, the point is shadow;
- Otherwise render the point.

(x,y,z)<sub>WS</sub>

(x',v',z'),



- Render scene from viewer's perspective
- For every pixel

If the point (x,y,z) ws is visible from the camera, convert (x,y,z)ws in (x',y',z')ls point coord. in light source frame with origin at the source light;

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- Render scene from viewer's perspective
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If the point (x,y,z) ws is visible from the camera, convert (x,y,z)ws in (x',y',z')ls point coord. in light source frame with origin at the source light;

- If z' > shadow\_z-buffer(x',y') then another surface is closer to the light source with respect to the considered point, the point is shadow;
- Otherwise render the point.

Not in shadow!



### **Shadow Maps**



Image taken from http://www.cse.ohio-state.edu/~haleyb/Hardware/ggDepthBuffer.jpg

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### Shadow Map Pre-computation of shadows as texture





Shadows are independent on the view reference point.

#### Shadow-Maps:

generate shadow texture by capturing silhouettes of objects as seen from the light source. Project texture onto scene. Note: must recalculate for moving lights

Images courtesy of Kasper Høy Nielsen.

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### 3) Shadow Volumes

- Explicitly represent the volume of space in shadow
- For each polygon
  - Pyramid with point light as apex
  - Include polygon to cap
- Shadow test similar to clipping



### **Shadow Volumes**

- If a point is inside a shadow volume cast by a particular light, the point does not receive any illumination from that light
- Cost of Naive implementation: #polygons \* #lights



MIT Durand and Cutler



### **Shadow Volumes**

- Shoot a ray from the eye to the visible point
- Increment/decrement a counter each time we intersect a shadow volume polygon (check z buffer)

use front/back culling

 If the counter ≠ 0, the point is in shadow





### Shadow Volumes with the Stencil Buffer

A four pass process [Heidmann91]:

Initialize stencil buffer to 0
 Draw scene with ambient light only
 Turn off frame buffer & z-buffer updates

2)Draw front-facing shadow polygons If z-pass  $\rightarrow$  increment counter

3)Draw back-facing shadow polygons If z-pass  $\rightarrow$  decrement counter 0

4)Turn on frame buffer updatesTurn on lighting and redraw pixels with stencil buffer counter = 0



+2





#### Image courtesy of NVIDIA Inc.



http://www-sop.inria.fr/reves/personnel/Marc.Stamminger/psm/

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### Alpha for Transparency

- Add an additional property to material colors called *alpha*
- Colors are actually (*r g b a*)
- Alpha actually represents the opacity of an object and ranges from 0 (totally invisible) to
  - 1 (fully opaque).
- A value between 0 and 1 will be partially transparent
- The alpha value is a 8 bit quantity, so a color is a 32 bit rgba value. (default alpha =1 - fully opaque)





### Alpha Blending: Computing Transparency

- When we are rasterize a transparent triangle, we end up with an alpha quantity per pixel
- We also end up with a color value per pixel which comes from the Gouraud interpolated color per vertex, or the texture map, or both. We refer to this as the *source color*
- We can also read the color value already stored in the pixel. We call this the *destination color*
- The final color we render into the pixel will be a linear blend of the source color and destination color. The alpha quantity controls how much we blend each one

$$\mathbf{c}_{final} = \alpha \mathbf{c}_{src} + (1 - \alpha) \mathbf{c}_{dest}$$



### **Basic Alpha Blending**

Blending Operator is not commutative





- Because of the zbuffer, we can generally render triangles in any order without affecting the final image
- With transparency, however, we actually need to render transparent surfaces in a back to front (far to near) order
- This is required because the transparent surface will modify the color already stored at the pixel



- If we have a blue tinted glass in front of a brick wall, we render the brick wall first, then when we render the blue glass, we need to apply a blue tinting to the brick pixels already in the framebuffer
- We should render all opaque surfaces in a scene before rendering the transparent surfaces.
- For best quality, the transparent triangles should be sorted back to front, which can be an expensive operation

→Order-Independent Transparency (OIT) algorithms!



- 1) Render Opaque object
- Depth-buffer rejects
  occluded fragments

### 2) Render Transparent object

All fragments are stored using per-pixel linked lists

Linked List [Yang et al.]

Store fragments:

Color+alpha+depth (only the first K)

- Storage buffer: fragment + previous
- Per-pixel list-head





#### 2) Render Transparent object

- Store fragments: Color+alpha+depth (only the first K)
- 3) Resolve Transparent
- Fullscreen sort & blend per pixel
  - Not efficient to globally sort all fragments per pixel
  - $\rightarrow$  Sort K nearest correctly via register array
    - Blend fullscreen on top of framebuffer





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