

Riemann - Roch Theorem for Surfaces

Goal: Prove Riemann-Roch theorem for surfaces.

Today:

- Self-intersection of surfaces.
- Adjunction Formula
- Riemann - Roch Theorem.

Recall: Last time: D a divisor on the surface X .

We defined: Self-intersection $D \cdot D = D^2$.

↳ With this idea: Define a numerical invariant of a surface

X a surface / k

$\Omega_{X/k}$ sheaf of differentials of X/k .

$\omega_X = \wedge^2 \Omega_{X/k}$ the canonical sheaf.

K canonical divisor. Well-def up to linear equivalence.

$K^2 :=$ self-intersection of the canonical divisor. Depends only on X .

An anticanonical divisor is $-K$ for K canonical.

Canonical Divisor for $\mathbb{P}^n$:

Take a rational n -form on an open affine subset of $\mathbb{P}^n$:

Compute what it looks like on the other charts.

Coords $(x_0 : \dots : x_n)$ on $\mathbb{P}^n$

On chart $U_0 = \{x_0 \neq 0\}$

$$\omega = d\tilde{x}_1 \wedge d\tilde{x}_2 \wedge \dots \wedge d\tilde{x}_n \quad \text{for} \quad \tilde{x}_i = \frac{x_i}{x_0} \quad i > 0$$

On chart $U_n = \{x_n \neq 0\}$

$$\triangleright y_i = \frac{x_i}{x_n} \quad i=0, \dots, n-1$$

$$d\tilde{x}_i = d\left(\frac{x_i}{x_0}\right) = d\left(\frac{y_i}{y_0}\right) \quad \text{for } i=1, \dots, n-1$$

$$d\tilde{x}_n = d\left(\frac{x_n}{x_0}\right) = d\left(\frac{1}{y_0}\right)$$

$$\begin{aligned}\Rightarrow \omega &= d\left(\frac{y_1}{y_0}\right) \wedge d\left(\frac{y_2}{y_0}\right) \wedge \dots \wedge d\left(\frac{1}{y_0}\right) \\ &= -\frac{1}{y_0^{n+1}} dy_1 \wedge \dots \wedge dy_{n-1} \wedge dy_0 \quad d\left(\frac{y_1}{y_0}\right) = \frac{dy_1}{y_0} - \frac{y_1}{y_0^2} dy_0\end{aligned}$$

Pole of order $n+1$ on hyperplane H given by $y_0 = 0$.

So the canonical divisor: $K_{\mathbb{P}^n} \sim -(n+1)H$

Remark: $\mathbb{P}^n \setminus (U_0 \cup U_n)$ is codimension 2 subvariety, no divisor can be contained in it.

$\Rightarrow$ Enough to consider 2 charts.

By Def: $\omega_{\mathbb{P}^n} \cong \mathcal{O}_{\mathbb{P}^n}(K_{\mathbb{P}^n})$

$$\Rightarrow \omega_{\mathbb{P}^n} \cong \mathcal{O}_{\mathbb{P}^n}(-n-1).$$

Example: $X = \mathbb{P}^2$ Compute K^2 .

- Start with a rational 2-form on one open affine subset of $\mathbb{P}^2$.
- Compute its expression in other charts.

$(X:Y:Z)$ coords. of $\mathbb{P}^2$.

In chart $U_z = \{z \neq 0\}$ take $x := \frac{X}{Z}$, $y := \frac{Y}{Z}$ and take the 2-form

$$dx \wedge dy \rightarrow \text{No zeros, no poles.}$$

In $U_y = \{y \neq 0\}$ take $u := \frac{X}{Y}$, $v := \frac{Z}{Y}$ so that

$$\begin{aligned} dx \wedge dy &= d\left(\frac{u}{v}\right) \wedge d\left(\frac{1}{v}\right) = \left(\frac{du}{v} - \frac{u}{v^2} dv\right) \wedge \left(-\frac{1}{v^2} dv\right) \\ &= -\frac{1}{v^3} du \wedge dv. \end{aligned}$$

Has a pole of order 3 on hyperplane $v=0$.

$$\Rightarrow K = -3H, \quad H \text{ hyperplane where we have poles, given by } z=0.$$

$$\text{So } K = -3H \Rightarrow K^2 = 9H^2 = 9$$

↓

Any two lines in $\mathbb{P}^2$ are linearly eq.

Two distinct lines meet in 1 point.

Example: X non-singular quadric surface in $\mathbb{P}^3$
 $X \simeq \mathbb{P}^1 \times \mathbb{P}^1$.

Remark: $\text{Cl } X \simeq \mathbb{Z} \oplus \mathbb{Z} \quad (\simeq \text{Pic}(X)).$

$p_1: X \longrightarrow \mathbb{P}^1, \quad p_2: X \longrightarrow \mathbb{P}^1$ projections on factors

Induces: $p_1^*: \text{Cl } \mathbb{P}^1 \longrightarrow \text{Cl } X, \quad p_2^*: \text{Cl } \mathbb{P}^1 \longrightarrow \text{Cl } X.$

Identify $\text{Cl}(\mathbb{P}^1) \simeq \mathbb{Z}, \quad 1 \mapsto \text{class of a point}.$

$$\mathbb{Z} \xrightarrow{p_2^*} \text{Cl}(X) \longrightarrow \text{Cl}(X \setminus \{pt\} \times \mathbb{P}^1) \simeq \text{Cl}(A^1 \times \mathbb{P}^1) \simeq \text{Cl}(\mathbb{P}^1) \text{ isom.}$$

$$\mathbb{Z} \xrightarrow{p_1^*} \text{Cl}(X) \longrightarrow \text{Cl}(A^1 \times \mathbb{P}^1) \longrightarrow 0$$

$$\Rightarrow \text{Cl}(X) \simeq \text{Im } p_1^* \oplus \text{Im } p_2^* \simeq \mathbb{Z} \oplus \mathbb{Z}.$$

► If D is any divisor on X , let (a, b) the ordered pair of integers in $\mathbb{Z} \oplus \mathbb{Z}$ corresponding to the class of D under this isomorphism.

Then D is of type (a, b) on X .

Since $X \cong \mathbb{P}^1 \times \mathbb{P}^1$: Rational 2-form $\longleftrightarrow$ Rational 1-forms on
on X both copies of $\mathbb{P}^1$

zeros on 2-form $\longleftrightarrow$ pre-images of zeros on
1-forms on $\mathbb{P}^1$.

So to compute $K \times$: Compute first $K \cdot P^1$

As before: Take coords $(x:y)$ on $\mathbb{P}^1$

For the chart $U_4 = \{y \neq 0\}$ take $x = \frac{x}{y}$ and the 1-form: dx

$\Rightarrow$ On $U_x = \{x \neq 0\}$: $u = \frac{y}{x}$ so the 1-form becomes

$$dx = d\left(\frac{1}{u}\right) = -\frac{1}{u^2} du \rightarrow \text{pole of order 2}$$

$$\Rightarrow K_{\mathbb{P}^1} \sim -2H$$

$\hookrightarrow$ Hyperplane in $\mathbb{P}^1$: Point

By fact that will be proven below (on Canonical sheaf of product of two smooth varieties).

K_X is of type $(-2, -2)$

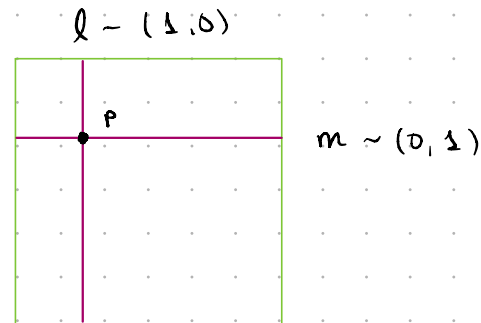
Recall: C, D divisors on X ,

C of type (a, b) , D of type (a', b')

then $C \cdot D = ab' + a'b$

$$K_X^2 = (-2)(-2) + (-2)(-2) = 8.$$

$\mathbb{P}^1 \times \mathbb{P}^1$



$$(l \cdot m)_P = 1.$$

Riemann-Roch for curves:

Def: Let X be a curve. The genus of X is

$$g := \dim H^1(X, \mathcal{O}_X) = h^1(\mathcal{O}_X)$$

Remark: By Serre - Duality,

$$g = \dim H^1(X, \mathcal{O}_X) = \dim H^0(X, \omega_X) = h^0(\omega_X)$$

Notation: D a divisor on a curve X ,

$$l(D) := \dim H^0(X, \mathcal{O}_X(D)).$$

Theorem (Riemann - Roch): Let D a divisor on a curve X of genus g . Then

$$l(D) - l(K - D) = \deg D + 1 - g$$

Example: On a curve X of genus g , the canonical divisor K has degree $2g-2$.

By Riemann-Roch with $D = K$.

$$l(K) - l(0) = \deg K + 1 - g$$

$$\text{Now } l(K) = \dim H^0(X, \mathcal{O}_X(K)) = \dim H^0(X, \omega_X) = g$$

$$l(0) = 1 \quad \text{since } H^0(X, \mathcal{O}_X(0)) \cong \mathbb{K}$$

$$\Rightarrow g - 1 = \deg K + 1 - g \quad \Leftrightarrow \quad \deg K = 2g - 2,$$

Adjunction Formula:

Prop: C a non-singular irreducible curve. For D a divisor on X ,

$$C \cdot D = \deg_C \mathcal{O}_X(D)|_C$$

Prop. (Adjunction Formula): Let C a non-singular irreducible curve of genus g on a surface X . Let K be the canonical divisor on X , then

$$2g - 2 = C \cdot (C + K).$$

Proof: Let $i: C \hookrightarrow X$.

By Adjunction:

$$\begin{aligned} \omega_C &\cong (i_* \mathcal{O}_C \otimes \mathcal{O}_X(K_X))|_C \quad \text{where } \omega_X = \mathcal{O}_X(K_X) \\ &\cong \mathcal{O}_X(K_X + C)|_C \end{aligned}$$

Now taking degree:

$$\deg \omega_C = 2g - 2 \quad \text{from Ex above.}$$

$$\deg_C \mathcal{O}_X(K_X + C)|_C = C \cdot (C + K_X) \quad \text{from Previous Prop.}$$

$$\Rightarrow 2g - 2 = C \cdot (K_X + C).$$

Example: C a smooth irreducible curve of degree d in $\mathbb{P}^2$.

We have seen $K_{\mathbb{P}^2} \sim -3H$

Hence $C \sim dH$ and $C + K_{\mathbb{P}^2} \sim (d-3)H$

By adjunction formula: $2g - 2 = (d-3) \cdot d \cdot H^2 = (d-3) \cdot d$

$$\Rightarrow g = \frac{1}{2} (d-1)(d-2)$$

Which is the genus-degree formula for plane curves.

Example: Let C be a curve of type (a, b) on the quadric surface in $\mathbb{P}^3$.

Since $K_{\mathbb{P}^1 \times \mathbb{P}^1}$ is of type $(-2, -2)$ then

$C + K_{\mathbb{P}^1 \times \mathbb{P}^1}$ is of type $(a-2, b-2)$

By Adjunction Formula:

$$2g - 2 = a(b-2) + (a-2)b$$

$$\Rightarrow g = ab - a - b + 1.$$

Prop 1: Let $f: X \longrightarrow Y$ a morphism, let $g: Y' \longrightarrow Y$ also a morphism of schemes,

and let $f': X' := X \times_Y Y' \longrightarrow Y'$ be obtained by base extension.

$$\begin{array}{ccc} X' & \xrightarrow{g'} & X \\ f' \downarrow & & \downarrow f \\ Y' & \xrightarrow{g} & Y \end{array}$$

Then,

$$\Omega_{X'/Y'} \cong g'^* (\Omega_{X/Y}).$$

where $g': X' \longrightarrow X$ the projection.

Proof: Follows from properties of modules of relative differential forms.

Prop 2: Let $f: X \longrightarrow Y$, $g: Y \longrightarrow Z$ morphism of schemes. There is an exact sequence of sheaves on X ,

$$f^* \Omega_{Y/Z} \longrightarrow \Omega_{X/Z} \longrightarrow \Omega_{X/Y} \longrightarrow 0$$

lemma: Let X, Y be non-singular varieties over $\mathbb{K}$. Then

$$\omega_{X \times Y} \simeq p_1^* \omega_X \oplus p_2^* \omega_Y.$$

for $p_1: X \times Y \longrightarrow X$ and $p_2: X \times Y \longrightarrow Y$ the projections.

Proof: First of all we prove

$$\Omega_{X \times Y / \mathbb{K}} \simeq p_1^* \Omega_{X / \mathbb{K}} \oplus p_2^* \Omega_{Y / \mathbb{K}}.$$

By Prop 1 writing $\mathbb{K}$ for Y and Y for Y' we get,

$$f: X \longrightarrow \mathbb{K} \quad \text{and} \quad g: Y \longrightarrow \mathbb{K} \quad \text{morphisms}$$

and $f': X \times_{\mathbb{K}} Y \longrightarrow Y$ by base extension, then we obtain

$$\Omega_{X \times Y / Y} \simeq p_1^* (\Omega_{X / \mathbb{K}})$$

and also
$$\Omega_{X \times Y / X} \simeq p_2^* (\Omega_{Y / \mathbb{K}})$$

Now by Prop 2 writing k for Z , X for Y and $X \times Y$ for X we have

$$f = p_1: X \times_k Y \longrightarrow X \quad , \quad g: X \longrightarrow k$$

morphisms of schemes. Then

$$(*) \quad \Omega_{X \times Y / Y} \simeq p_1^*(\Omega_{X/k}) \longrightarrow \Omega_{X \times Y / k} \longrightarrow \Omega_{X \times Y / X} \simeq p_2^*(\Omega_{Y/k}) \longrightarrow 0$$

is exact.

Also,

$$(**) \quad p_2^*(\Omega_{Y/k}) \longrightarrow \Omega_{X \times Y / k} \longrightarrow p_1^*(\Omega_{X/k}) \longrightarrow 0$$

is exact.

Both sequences imply that $(*)$ splits so

$$\Omega_{X \times Y / k} \simeq p_1^*(\Omega_{X/k}) \oplus p_2^*(\Omega_{Y/k})$$

Now let $m = \dim X$, $n = \dim Y$.

By def. of the canonical sheaf:

$$\begin{aligned}
 \omega_{X \times Y} &= \Lambda^{n+m} \Omega_{X \times Y} \\
 &\simeq \Lambda^{n+m} (p_1^* (\Omega_X) \oplus p_2^* (\Omega_Y)) \\
 &\simeq \Lambda^m (p_1^* (\Omega_X)) \otimes \Lambda^n (p_2^* (\Omega_Y)) \\
 &\simeq p_1^* (\Lambda^m \Omega_X) \otimes p_2^* (\Lambda^n \Omega_Y) \\
 &\simeq p_1^* \omega_X \otimes p_2^* \omega_Y.
 \end{aligned}$$

Prop: Let $f: X \rightarrow Y$ a flat morphism, D an effective divisor on Y .
then $f^{-1}D$ is an effective divisor on X and

$$\mathcal{O}_X(f^{-1}D) = f^*(\mathcal{O}_Y(D))$$

$$\Rightarrow H^0(X, \mathcal{O}_X(f^{-1}D)) \longleftarrow H^0(Y, \mathcal{O}_Y(D))$$

section def. $f^{-1}D$ $= f^* s_0$ $\longleftarrow$ $s_0 =$ section defining D

Remark: Prop holds also if f is an embedding of a divisor that has no common components with D .

Example. (Product of two curves):

Let X be a product of two non-singular projective curves C, C' of genus g, g' resp.

$$X = C \times C'$$

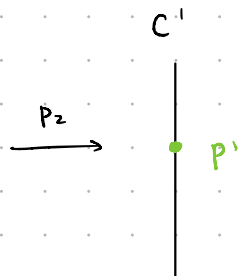
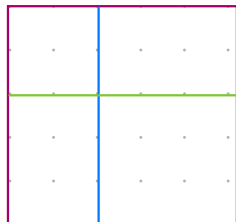
Let $p_1: X \longrightarrow C$, $p_2: X \longrightarrow C'$ the projections.

By the Lemma,

$$K_X = p_1^* K_C + p_2^* K_{C'}$$

$$K_X^2 = (p_1^* K_C)^2 + 2 p_1^* K_C \cdot p_2^* K_{C'} + (p_2^* K_{C'})^2$$

$$X = C \times C'$$



$$\forall L \in \text{Pic}(C), \quad p_1^* L \in \text{Pic}(X)$$

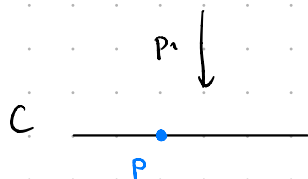
$$L' \in \text{Pic}(C'), \quad p_2^* L' \in \text{Pic}(X)$$

$$\forall p \in C, \quad p_1^{-1}(p) = \{p\} \times C'$$

$$p_1^* \mathcal{O}_C(p) = \mathcal{O}_X(\{p\} \times C')$$

$$\forall p' \in C',$$

$$p_2^* \mathcal{O}_{C'}(p') = \mathcal{O}_X(C \times \{p'\})$$



$$(1) \quad p_1^* \mathcal{O}_C(p) \cdot p_2^* \mathcal{O}_{C'}(p') = (\{p\} \times C') \cdot (C \times \{p'\}) = 1.$$

$$(2) \quad \forall p, q \in C, \quad p_1^* \mathcal{O}_C(p) \cdot p_1^* \mathcal{O}_C(q) = 0$$

• Let $L \in \text{Pic}(C)$.

$$L = \mathcal{O}_C \left(\sum_i a_i p_i \right) \quad a_i \in \mathbb{Z}$$

$$p_1^* L = \mathcal{O}_X \left(\sum_i a_i (\{p_i\} \times C') \right)$$

$$(p_1^* L)^2 = \sum_{i,j} a_i a_j (\{p_i\} \times C') \cdot (\{p_j\} \times C') \stackrel{(2)}{=} 0$$

Similarly, $0 = (p_2^* L')^2$ for $L' \in \text{Pic}(C')$.

- Let $L \in \text{Pic}(C)$, $L' \in \text{Pic}(C')$

$$L = \mathcal{O}_C \left(\sum_i a_i p_i \right)$$

$$L' = \mathcal{O}_{C'} \left(\sum_j b_j q_j \right)$$

$$(p_1^* L) \cdot (p_2^* L') = \sum_{i,j} a_i b_j (\{p_i\} \times C') \cdot (C \times \{q_j\})$$

$$\stackrel{(1)}{=} \sum_{i,j} a_i b_j = \sum_i a_i \cdot \sum_j b_j$$

$$= (\deg_C L) \cdot (\deg_{C'} L')$$

Thus,

$$K_{X^2} = (\cancel{p_1^* K_C})^2 + \underbrace{2 p_1^* K_C \cdot p_2^* K_{C'}}_{\text{Riemann-Roch for curves}} + (\cancel{p_2^* K_{C'}})^2$$

$2(2g-2)(2g'-2)$ Riemann-Roch for curves

$$\Rightarrow K_{X^2} = 8(g-1)(g'-1).$$

Example: Let X be a surface of degree d in $\mathbb{P}^3$.

$X \sim dH$ for H a hypersurface.

First we compute: $K_{\mathbb{P}^3}$.

Same as before: $dx \wedge dy \wedge dz$ in chart $U_w = \{w \neq 0\}$ for

$$x = \frac{x}{w}, \quad y = \frac{y}{w}, \quad z = \frac{z}{w}.$$

In chart $y \neq 0$: $u = \frac{x}{y}, \quad v = \frac{z}{y}, \quad r = \frac{w}{y}$.

$$dx \wedge dy \wedge dz = -\frac{1}{r^4} du \wedge dr \wedge dv$$

$$\Rightarrow K_{\mathbb{P}^3} = -4H.$$

By Adjunction: $K_X = (K_{\mathbb{P}^3} + dH)|_X = (d-4)H|_X$

$$\text{So } K_X^2 = (d-4)^2 H|_X^2$$

Compute $H|_X^2$:

H', H'' general hyperplanes in $\mathbb{P}^3$.

Now,

$$\begin{aligned} H|_X^2 &= \mathcal{O}_X(1)^2 = \mathcal{O}_{\mathbb{P}^3}(H')|_X \cdot \mathcal{O}_{\mathbb{P}^3}(H'')|_X \\ &= (X \cap H') \cdot (X \cap H'') \quad \text{on } X \\ &= \# (X \cap H') \cap (X \cap H'') \\ &= \# (X \cap H' \cap H'') \\ &= (X \cap H'') \cdot (H' \cap H'') = d \cdot 1 = d. \end{aligned}$$

$\downarrow$ $\downarrow$
Curve of degree d Line in H''
in H''

$$\Rightarrow K_X^2 = (d-4)^2 \cdot d.$$

Example: Let X be the smooth intersection of 2 quadrics in $\mathbb{P}^4$

$$X = Y_1 \cap Y_2$$

Exact Sequence:

$$0 \longrightarrow \mathcal{O}_{\mathbb{P}^4}(-2) \longrightarrow \mathcal{O}_{\mathbb{P}^4} \longrightarrow \mathcal{O}_{Y_1} \longrightarrow 0$$

Tensoring by $- \otimes \mathcal{O}_{\mathbb{P}^4} \mathcal{O}_{Y_2}$:

$$0 \longrightarrow \mathcal{O}_{\mathbb{P}^4}(-2)|_{Y_2} \longrightarrow \mathcal{O}_{Y_2} \longrightarrow \mathcal{O}_X \longrightarrow 0$$

Exactness of the sequence implies:

$$\mathcal{O}_{Y_2}(X) = \mathcal{O}_{\mathbb{P}^4}(2)|_{Y_2}$$

By Adjunction:

$$\begin{aligned} \omega_{Y_1} &\simeq (\mathcal{O}_{\mathbb{P}^4}(2) \otimes_{\mathcal{O}_{\mathbb{P}^4}} \mathcal{O}_{\mathbb{P}^4}(-5))|_{Y_1} \\ &\simeq \mathcal{O}_{\mathbb{P}^4}(-3)|_{Y_1} \end{aligned}$$

Again by adjunction:

$$\begin{aligned} \omega_X &\simeq (\mathcal{O}_{Y_i}(X) \otimes \mathcal{O}_{Y_i} \omega_{Y_i})|_X \\ &\simeq (\mathcal{O}_{\mathbb{P}^4}(2) \otimes_{\mathcal{O}_{\mathbb{P}^4}} \mathcal{O}_{\mathbb{P}^4}(-3))|_X \\ &\simeq \mathcal{O}_{\mathbb{P}^4}(-1)|_X = \mathcal{O}_X(-1). \end{aligned}$$

Compute K_{X^2} :

Take $H_1, H_2 \subset \mathbb{P}^4$ general hyperplanes: $\mathcal{O}_{\mathbb{P}^4}(H_i) = \mathcal{O}_{\mathbb{P}^4}(1)$

Let $C_i := H_i \cap X$ C_i is a smooth irreducible curve.

H_1, H_2 general $\Rightarrow C_1, C_2$ curves meeting transversally on X .

$$\mathcal{O}_{\mathbb{P}^4}(H_i) = \mathcal{O}_{\mathbb{P}^4}(1) \Rightarrow \mathcal{O}_{\mathbb{P}^4}(1)|_X = \mathcal{O}_X(H_i \cap X) = \mathcal{O}_X(C_i)$$

$$\Rightarrow K_{X^2} = \mathcal{O}_X(1) \cdot \mathcal{O}_X(1) = C_1 \cdot C_2 = \#(C_1 \cap C_2)$$

Compute $\# (C_1 \cap C_2)$:

Let $\Pi = H_1 \cap H_2 \cong \mathbb{P}^2$.

$\left. \begin{array}{l} Y_1 \cap \Pi \\ Y_2 \cap \Pi \end{array} \right\}$ Plane conics in Π

$\Downarrow$ Bezout's thm

They intersect in 4 pts.

Now,

$$\begin{aligned} (Y_1 \cap \Pi) \cap (Y_2 \cap \Pi) &= Y_1 \cap Y_2 \cap H_1 \cap H_2 \\ &= X \cap H_1 \cap H_2 \\ &= (X \cap H_1) \cap (X \cap H_2) \\ &= C_1 \cap C_2. \end{aligned}$$

Finally we get :

$$K_X^2 = \# (C_1 \cap C_2) = 4.$$

Riemann - Roch for surfaces

For D any divisor on the surface X , let

$$l(D) := \dim H^0(X, \mathcal{O}_X(D))$$

Thus $l(D) = \dim |D| + 1$, for $|D|$ the complete linear system of D .

Def. The superabundance $s(D)$ of D is

$$s(D) = \dim H^1(X, \mathcal{O}_X(D))$$

Side Note on Terminology: Before invention of cohomology, Riemann-Roch formula was written only with $l(D)$, $l(D-K)$.

Superabundance $\Rightarrow$ Amount by which it failed to hold.

Def: The arithmetic genus p_a of X is

$$\dim X = r$$

$$p_a^X = (-1)^r \chi(\mathcal{O}_X) + 1$$

where $\chi(\mathcal{O}_X)$ is Euler-characteristic of $\mathcal{O}_X$ given by

$$\chi(\mathcal{O}_X) = \sum_i (-1)^i \dim H^i(X, \mathcal{O}_X)$$

Recall: Euler-characteristic is additive on short exact sequences,

$$0 \longrightarrow \mathcal{F}' \longrightarrow \mathcal{F} \longrightarrow \mathcal{F}'' \longrightarrow 0$$

e.s. of coherent sheaves on X , then

$$\chi(\mathcal{F}) = \chi(\mathcal{F}') + \chi(\mathcal{F}'')$$

Theorem (Riemann-Roch for Surfaces):

If D is any divisor on the surface X , then

$$l(D) - s(D) + l(K-D) = \frac{1}{2} D \cdot (D-K) + 1 + pa^X$$

Proof: By Serre Duality, we have

$$\begin{aligned} l(K-D) &= \dim H^0(X, \mathcal{O}_X(K-D)) \\ &= \dim H^0(X, \mathcal{O}_X(D)^\vee \otimes \mathcal{O}_X(K)) \text{ where by def. } \omega_X = \mathcal{O}_X(K) \\ &= \dim H^0(X, \mathcal{O}_X(D)^\vee \otimes \omega_X) \\ &= \dim H^2(X, \mathcal{O}_X(D)). \end{aligned}$$

So we see LHS:

$$\begin{aligned} l(D) - s(D) + l(K-D) &= \dim H^0(X, \mathcal{O}_X(D)) - \dim H^1(X, \mathcal{O}_X(D)) + \dim H^2(X, \mathcal{O}_X(D)) \\ &= \chi(\mathcal{O}_X(D)). \end{aligned}$$

$$\text{Hence we have to show: } \chi(\mathcal{O}_X(D)) = \frac{1}{2} D \cdot (D-K) + 1 + pa^X.$$

Both sides $\Rightarrow$ Depend only on the linear eq. class of D .

We can write : $D = C - E$



Non-singular curves.

By choosing linearly equivalent non-singular $D' \sim D$.

Let : Ideal sheaf of C in $X = \mathcal{O}_X(-C)$
 " " E in $X = \mathcal{O}_X(-E)$

We have exact sequence:

$$0 \longrightarrow \mathcal{O}_X(-E) \longrightarrow \mathcal{O}_X \longrightarrow \mathcal{O}_E \longrightarrow 0$$

Tensoring with $\mathcal{O}_X(C)$:

$$0 \longrightarrow \mathcal{O}_X(C-E) \longrightarrow \mathcal{O}_X(C) \longrightarrow \mathcal{O}_X(C) \otimes \mathcal{O}_E \longrightarrow 0 \quad (1)$$

Similarly with

$$0 \longrightarrow \mathcal{O}_X(-C) \longrightarrow \mathcal{O}_X \longrightarrow \mathcal{O}_C \longrightarrow 0$$

Tensoring with $\mathcal{O}_X(C)$:

$$0 \longrightarrow \mathcal{O}_X \longrightarrow \mathcal{O}_X(C) \longrightarrow \mathcal{O}_X(C) \otimes \mathcal{O}_C \longrightarrow 0 \quad (2)$$

Since χ is additive on s.e.s :

$$(1) \Rightarrow \chi(\mathcal{O}_X(C-E)) + \chi(\mathcal{O}_X(C) \otimes \mathcal{O}_E) = \chi(\mathcal{O}_X(C))$$

$$(2) \Rightarrow \chi(\mathcal{O}_X) + \chi(\mathcal{O}_X(C) \otimes \mathcal{O}_C) = \chi(\mathcal{O}_X(C)).$$

Combining these eqs:

$$\chi(\mathcal{O}_X(C-E)) = \chi(\mathcal{O}_X) + \chi(\mathcal{O}_X(C) \otimes \mathcal{O}_C) - \chi(\mathcal{O}_X(C) \otimes \mathcal{O}_E) \quad (3)$$

By def. $\chi(\mathcal{O}_X) = 1 + p_a$

By Riemann-Roch for curves:

$$\dim H^0(X, \mathcal{O}_X(C) \otimes \mathcal{O}_C) - \dim H^0(X, (\mathcal{O}_X(C) \otimes \mathcal{O}_C)^\vee \otimes \omega_X) = \deg_C(\mathcal{O}_X(C)|_C$$

But

$$+ 1 - g_C$$

$$\dim H^0(X, (\mathcal{O}_X(C) \otimes \mathcal{O}_C)^\vee \otimes \omega_X) = \dim H^1(X, \mathcal{O}_X(C) \otimes \mathcal{O}_C)$$

$$\text{So } \dim H^0(X, \mathcal{O}_X(C) \otimes \mathcal{O}_C) - \dim H^0(X, (\mathcal{O}_X(C) \otimes \mathcal{O}_C)^\vee \otimes \omega_X) = \chi(\mathcal{O}_X(C) \otimes \mathcal{O}_C)$$

$$\Rightarrow \chi(\mathcal{O}_X(C) \otimes \mathcal{O}_C) = \deg_C(\mathcal{O}_X(C) \otimes \mathcal{O}_C)|_C + 1 - g_C$$

$$\text{Now use: } C \cdot D = \deg_C(\mathcal{O}_X(D) \otimes \mathcal{O}_C)|_C$$

$$\text{To get } \chi(\mathcal{O}_X(C) \otimes \mathcal{O}_C) = C^2 + 1 - g_C \quad (4)$$

Similarly for $\mathcal{O}_X(C) \otimes \mathcal{O}_E$

$$\chi(\mathcal{O}_X(C) \otimes \mathcal{O}_E) = C \cdot E + 1 - g_E \quad (5)$$

Finally by Adjunction Formula:

$$g_C = \frac{1}{2} C \cdot (C + K) + 1 \quad , \quad g_E = \frac{1}{2} E \cdot (E + K) + 1 \quad (6)$$

Combining (3) - (6):

$$\chi(\mathcal{O}_X(D)) = \chi(\mathcal{O}_X(C-E))$$

$$= \chi(\mathcal{O}_X) + C^2 + 1 - g_C - (C \cdot E + 1 - g_E)$$

$$= p_a^X + 1 + C^2 - \left(\frac{1}{2} C \cdot (C+K) + 1 \right) - C \cdot E + \left(\frac{1}{2} E \cdot (E+K) + 1 \right)$$

$$= \frac{1}{2} (C-E) \cdot (C-E-K) + 1 + p_a^X$$

$$= \frac{1}{2} D \cdot (D-K) + 1 + p_a^X$$

■

Remark: Another formula considered to be part of Riemann-Roch Thm:

$$12(1 + p_a) = K^2 + C_2$$

↓

Second Chern class of the tangent sheaf of X .

Prop: If D is an effective divisor on the surface X ,

$$2pa^D - 2 = D \cdot (D + K)$$

Proof: By Riemann - Roch for surfaces:

$$\chi(\mathcal{O}_X(-D)) = \frac{1}{2}(-D) \cdot (-D - K_X) + 1 + pa^X$$

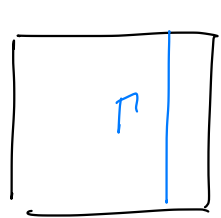
Using the exact sequence: $0 \longrightarrow \mathcal{O}_X(-D) \longrightarrow \mathcal{O}_X \longrightarrow \mathcal{O}_D \longrightarrow 0$

Using also: $\chi(\mathcal{O}_X(-D)) + \chi(\mathcal{O}_D) = \chi(\mathcal{O}_X)$

Then: $\chi(\mathcal{O}_D) = \frac{1}{2} D \cdot (-D - K_X) - 1 - pa^X + \chi(\mathcal{O}_X)$

$$1 - pa^D = \frac{1}{2} D \cdot (-D - K_X) - \cancel{1} - \cancel{pa^X} + \cancel{pa^X} + \cancel{1}$$

$$\Rightarrow 2pa^D - 2 = D \cdot (D + K_X)$$



$\xrightarrow{\text{pr}_2}$

C'

$$X = C \times C'$$

$$\Gamma = \text{pr}_1^{-1}(p) = \{p\} \times C'$$

$\text{pr}_1 \downarrow$



normal bundle

$$N_{p/C} = \mathcal{O}_p \text{ trivial line bundle}$$

$$N_{\Gamma/X} = \text{pr}_1^* N_{p/C} = \mathcal{O}_{\Gamma} \text{ trivial line bundle}$$

$$\Rightarrow \Gamma^2 = \deg_{\Gamma} N_{\Gamma/X} = 0.$$

$f: X \rightarrow Y$ morphism of schemes, flat
 $D \hookrightarrow Y$ effective Cartier divisor

$\Rightarrow f^{-1}D$ effective Cartier divisor on X :

Locally: $Y = \text{Spec } A$, $D = (s=0) = \text{Spec } A/(s)$ $s \in A$
 D Cartier iff s is a regular element of A
 $A \xrightarrow{s} A$ is injective non-zero-divisor

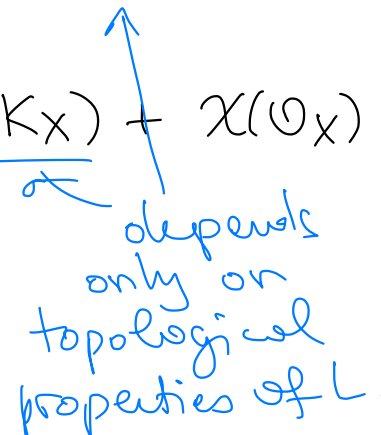
$f: X \rightarrow Y$
 $B \xleftarrow{\varphi} A$

$f^{-1}D = \text{Spec } B/B_s$

Is $\varphi(s)$ non-zero divisor in B ? Is $B \xrightarrow{s} B$ injective?

If B is flat over A , yes

Riemann-Roch: X smooth projective, connected.

- $\dim X = 1, L \in \text{Pic}(X) \Rightarrow \chi(L) = \deg L + 1 - g$
where $g = h^1(\mathcal{O}_X)$
 $= \chi(\mathcal{O}_X) + \underline{\deg L}$
- $\dim X = 2, L \in \text{Pic}(X) \Rightarrow \chi(L) = \underline{\frac{1}{2} L \cdot (L - K_X)} + \chi(\mathcal{O}_X)$


Hirzebruch-Riemann-Roch:
 E locally free sheaf on X

$$\chi(E) = \int_X \text{ch}(E) \text{td}(T_X)$$

$X \subset \mathbb{P}^n$ complete intersection of codim r
 $X = V(f_1, \dots, f_r)$ $\deg f_i = d_i > 0$

$$\omega_{\mathbb{P}^n} = \mathcal{O}_{\mathbb{P}^n}(-n-1)$$

$$\omega_X = \mathcal{O}_X(-n-1+d_1+\dots+d_r) \quad \text{by Adjunction.}$$