

# Minimal Models of $C \times \mathbb{P}^1$ for a Smooth Irrational Curve $C$

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Plan for today:

1. Technical preliminaries
2. Define ruled and geometrically ruled surfaces
3. Prove the Noether-Enriques theorem
4. Classify Minimal Models for  $C \times \mathbb{P}^1$  where  $C$  is an irrational smooth curve

# Conventions

*surface*  $:=$  smooth projective surface over  $\mathbb{C}$

*curve*  $:=$  projective curve over  $\mathbb{C}$

*point*  $:=$  closed point

$k$  is an algebraically closed field

If  $S$  is a surface,  $C$  a curve and  $p: S \rightarrow C$  a surjective morphism. We always consider fibres with multiplicities i.e. as pull-back of divisors (or scheme-theoretically).

# Non-Abelian Čech Cohomology

$X$  topological space,

$G$  a sheaf of (not necessarily abelian) groups on  $X$ ,

$\mathcal{U} = (U_i)_{i \in I}$  an open cover of  $X$ .

A **Čech 1-cocycle** of  $G$  on  $\mathcal{U}$  is a tuple

$$(g_{ij})_{i,j \in I}, \text{ where } g_{ij} \in \Gamma(U_i \cap U_j, G)$$

that satisfies the **cocycle condition**

$$g_{kj}g_{ji} = g_{ki} \text{ for all } i, j, k \in I$$

wherever defined.

# Non-Abelian Čech Cohomology

Two Čech 1-cocycles  $(g_{ij})$  and  $(g'_{ij})$  are called **cohomologically equivalent** if there is  $(h_i)_{i \in I}$  where  $h_i \in \Gamma(U_i, G)$  such that

$$h_i g_{ij} = g'_{ij} h_j$$

for all  $i, j \in I$  wherever defined.

This is an equivalence relation,

$\check{H}^1(\mathcal{U}, G) := \{\text{Čech 1-cocycles for } G \text{ on } \mathcal{U}\} / \text{cohomological equivalence}$

**first Čech cohomology** of  $G$  on  $\mathcal{U}$ .

It is a **pointed set** with **distinguished element** being the cohomology class of the Čech 1-cocycle given by  $g_{ij} = 1$  for all  $i, j$ .

# Non-Abelian Čech Cohomology

Another open cover  $\mathcal{V} = (V_j)_{j \in J}$  is a **refinement** of  $\mathcal{U}$  if there is a map  $\tau: J \rightarrow I$  such that  $V_j \subseteq U_{\tau(j)}$  for all  $j \in J$ .

We get a well-defined map (does not depend on the choice of  $\tau$ )

$$\begin{aligned}\tau^*: \check{H}^1(\mathcal{U}, G) &\rightarrow \check{H}^1(\mathcal{V}, G) \\ (g_{ii'}) &\mapsto (g_{\tau(j)\tau(j')})|_{V_j \cap V_{j'}}\end{aligned}$$

$\mathcal{U}$  and  $\mathcal{V}$  are **equivalent** if both are refinements of each other

$\mathcal{U}$  and  $\mathcal{V}$  equivalent  $\implies \tau^*$  is an isomorphism

# Non-Abelian Čech Cohomology

The **first Čech cohomology** of  $G$  on  $X$  is

$$\check{H}^1(X, G) := \varinjlim_{\mathcal{U}} \check{H}^1(\mathcal{U}, G),$$

where the direct limit is taken over equivalence classes of covers.

If  $G$  is a sheaf of abelian groups on  $X$ , we have an isomorphism

$$\check{H}^1(X, G) \cong H^1(X, G).$$

# Vector Bundles and Projective Bundles

Now  $X$  and  $F$  are varieties over  $k$ ,

$G$  an algebraic group over  $k$  with action on  $F$  which is regular and effective i.e,

$G \times F \rightarrow F$  is a morphism and if  $gf = f$  for all  $f \in F$  then  $g = 1$ .

Denote by  $G_r$  the sheaf of groups on  $X$  given by

$$\Gamma(U, G_r) = \{\text{morphisms } U \rightarrow G\}.$$

# Vector Bundles and Projective Bundles

An **algebraic fibre bundle** over  $X$  with **structure group**  $G$  and **fibre**  $F$  is a pair  $(W, \pi)$  where  $W$  is a variety and  $\pi: W \rightarrow X$  is a morphism such that there exist

- i. an open cover  $\mathcal{U} = (U_i)_{i \in I}$  of  $X$  and morphisms  $\phi_i: \pi^{-1}(U_i) \rightarrow U_i \times F$  such that the diagram

$$\begin{array}{ccc} \pi^{-1}(U_i) & \xrightarrow{\phi_i} & U_i \times F \\ & \searrow \pi \quad \swarrow \text{pr}_1 & \\ & U_i & \end{array}$$

commutes,

- ii. for each pair  $(i, j) \in I \times I$  a section  $g_{ij} \in \Gamma(U_i \cap U_j, G_r)$  such that for all  $x \in U_i \cap U_j$  and all  $f \in F$  we have

$$\phi_i \phi_j^{-1}(x, f) = (x, g_{ij}(x)f).$$

# Vector Bundles and Projective Bundles

Notice that since we assumed the action to be effective, the sections  $g_{ij}$  satisfy the cocycle conditions.

## Example

1.  $F = \mathbb{A}^r$ ,  $G = \mathrm{GL}(r, k) \leadsto$  rank  $r$  vector bundle over  $X$ .
2.  $F = \mathbb{P}^r$ ,  $G = \mathrm{PGL}(r + 1, k)$ , we speak of a  $\mathbb{P}^r$ -bundle over  $X$ .
3. If  $\mathcal{E}$  is a rank  $r + 1$  vector bundle we can simply replace each fibre by  $\mathbb{P}^r$  and replace the sections  $g_{ij}$  by their classes in  $\mathrm{PGL}(n + 1, k)$ . This is now a  $\mathbb{P}^r$ -bundle.

We denote this bundle by  $\mathbb{P}_X(\mathcal{E})$ . It is called the **projectivization** of  $\mathcal{E}$ .

# Vector Bundles and Projective Bundles

Sending

$$[(\phi_i \phi_j^{-1}|_{U_i \cap U_j})_{i,j}] \mapsto [(g_{ij})_{ij}]$$

gives an isomorphism of isomorphism classes of fibre bundles with structure group  $G$ , fibre  $F$  over  $X$  that are trivialised by  $\mathcal{U}$  and  $\check{H}^1(\mathcal{U}, G)$ .

$\rightsquigarrow$  isomorphism of isomorphism classes of fibre bundles with structure group  $G$ , fibre  $F$  over  $X$  and  $\check{H}^1(X, G)$ . The distinguished element on the left hand side is the **trivial bundle**  $X \times F$ .

This gives several important identifications for a variety  $X$ :

1.  $\check{H}^1(X, \mathcal{O}_X^*) \cong \text{Pic}(X)$ ,
2.  $\check{H}^1(X, \text{GL}(r, \mathcal{O}_X)) \cong$   
 $\{\text{iso. classes of rank } r \text{ vector bundles on } X\}$ ,
3.  $\check{H}^1(X, \text{PGL}(r+1, \mathcal{O}_X)) \cong \{\text{iso. classes of } \mathbb{P}^r\text{-bundles on } X\}$ .

Let  $X$  be a smooth quasi-projective variety over  $\mathbb{C}$ . We can view  $X$  as a subset of some  $\mathbb{C}P^n$  and endow the set  $X$  with the subspace topology,

$\rightsquigarrow X^{\text{an}}$  the **analytification of  $X$** .

It is a complex manifold in a natural way and if  $X$  is projective then  $X^{\text{an}}$  is compact.

The analytic topology is finer than the Zariski topology and every regular function is holomorphic, hence we have a morphism of ringed spaces  $(X^{\text{an}}, \mathcal{O}_{X^{\text{an}}}) \rightarrow (X, \mathcal{O}_X)$ .

A coherent sheaf on  $X^{\text{an}}$  is a **coherent analytic sheaf**. To any coherent sheaf  $\mathcal{F}$  on  $X$  we can assign a coherent analytic sheaf by

$$\mathcal{F} \mapsto i^{-1}\mathcal{F} \otimes_{i^{-1}\mathcal{O}_X} \mathcal{O}_{X^{\text{an}}} = i^*\mathcal{F} =: \mathcal{F}^{\text{an}}$$

called the **analytification** of  $\mathcal{F}$ .

Notice that this maps locally free sheaves to locally free sheaves and  $\mathcal{O}_X^{\text{an}} = \mathcal{O}_{X^{\text{an}}}$ .

It is a non-trivial theorem of Oka that  $\mathcal{O}_{X^{\text{an}}}$  is indeed a coherent analytic sheaf.

## Theorem (Serre '56)

Let  $X$  be a smooth projective variety over  $\mathbb{C}$ , then

1. For every coherent sheaf  $\mathcal{F}$  on  $X$  and every  $q \geq 0$ , there is an isomorphism

$$H^q(X, \mathcal{F}) \rightarrow H^q(X^{an}, \mathcal{F}^{an}).$$

2. There is a bijection

$$\mathrm{Hom}_{\mathcal{O}_X}(\mathcal{F}, \mathcal{G}) \rightarrow \mathrm{Hom}_{\mathcal{O}_{X^{an}}}(\mathcal{F}^{an}, \mathcal{G}^{an}).$$

3. For every coherent analytic sheaf  $\mathcal{G}$  on  $X^{an}$  there is a coherent sheaf  $\mathcal{F}$  on  $X$  unique up to unique isomorphism such that  $\mathcal{F}^{an} = \mathcal{G}$ . Furthermore, if  $\mathcal{G}$  is locally free, so is  $\mathcal{F}$ .

# The Exponential Sequence for a Surface

In particular:

$$H^q(X, \mathcal{O}_X) \cong H^q(X^{\text{an}}, \mathcal{O}_{X^{\text{an}}}) \text{ for all } q \geq 0 \text{ and } \text{Pic}(X) \cong \text{Pic}(X^{\text{an}})$$

Now let  $S$  be a smooth projective surface we have the **exponential sequence**

$$0 \longrightarrow \underline{\mathbb{Z}}_{S^{\text{an}}} \longrightarrow \mathcal{O}_{S^{\text{an}}} \xrightarrow{f \mapsto e^{2\pi i f}} \mathcal{O}_{S^{\text{an}}}^* \longrightarrow 0$$

from which we obtain the exact sequence

$$H^1(S^{\text{an}}, \mathcal{O}_{S^{\text{an}}}) \longrightarrow H^1(S^{\text{an}}, \mathcal{O}_{S^{\text{an}}}^*) \longrightarrow H^2(S^{\text{an}}, \underline{\mathbb{Z}}_{S^{\text{an}}}) \longrightarrow H^2(S^{\text{an}}, \mathcal{O}_{S^{\text{an}}})$$

# The Exponential Sequence for a Surface

We have an isomorphism  $\text{Pic}(S) \cong H^1(S^{\text{an}}, \mathcal{O}_{S^{\text{an}}}^*)$ . Since  $S^{\text{an}}$  is locally contractible we have  $H^2(S^{\text{an}}, \underline{\mathbb{Z}}_{S^{\text{an}}}) \cong H^2(S^{\text{an}}, \mathbb{Z})$ .

The exponential sequence gives the **first Chern class** map

$$c_1: \text{Pic}(S) \rightarrow H^2(S^{\text{an}}, \mathbb{Z}), \mathcal{O}_S(D) \mapsto c_1(\mathcal{O}_S(D))$$

which preserves the intersection product

$$D.D' = c_1(\mathcal{O}_S(D)) \cup c_1(\mathcal{O}_S(D'))$$

(because the intersection product is Poincaré dual to the cup product).

A variety  $X$  over  $k$  is called **rational** if  $X$  is birational to  $\mathbb{P}_k^n$  for some  $n$ .

This is equivalent to saying that  $K(X) \cong k(x_1, \dots, x_n)$ .

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An example of a rational surface is  $\mathbb{P}^1 \times \mathbb{P}^1$ . It is birational to  $\mathbb{P}^2$ .

An example of a variety that is not rational is the curve given by  $V(Y^2Z - X^3 - XZ^2)$ , it has genus one while  $\mathbb{P}^1$  has genus zero.

## Two Useful Facts

1. Let  $f: S \rightarrow C$  be a surjective morphism from a surface to a smooth curve. Then for any fibre  $F$  of  $f$  we have  $F^2 = 0$ :

Let  $F = f^{-1}(x)$ , then  $N_{F/S} = f^*N_{x/C} = f^*\mathcal{O}_x = \mathcal{O}_F$  since any line bundle over a point is trivial. Thus  $F^2 = \deg_F(N_{F/S}) = \deg_F \mathcal{O}_F = 0$ .

2. Let  $S$  be a surface,  $D$  an effective divisor on  $S$  and  $C$  an irreducible curve on  $S$  with  $C^2 \geq 0$ . Then  $D.C \geq 0$ :

We write  $D = D' + nC$  where  $D'$  does not contain  $C$  and  $n \geq 0$  because  $D$  is effective. Then  $D.C = D'.C + nC^2 \geq 0$ .

# Ruled and Geometrically Ruled Surfaces

## Definition

A surface  $S$  is called **ruled** if there is a smooth curve  $C$  and a birational map  $S \dashrightarrow C \times \mathbb{P}^1$ .

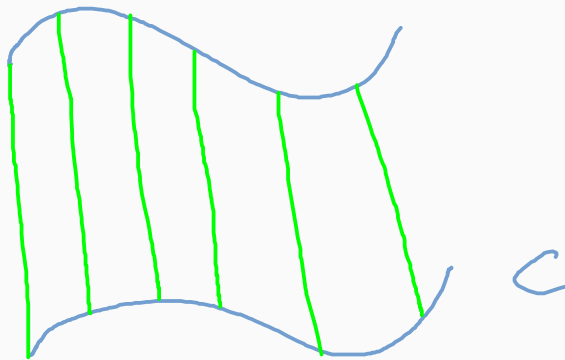
## Definition

Let  $C$  be a smooth curve. A **geometrically ruled surface** over  $C$  is a pair  $(S, p)$  consisting of a surface  $S$  and a smooth morphism  $p: S \rightarrow C$  whose fibres are isomorphic to  $\mathbb{P}^1$ .

In particular the smooth morphism  $p$  is surjective. Most of the time we suppress the morphism  $p$  and say: “Let  $S$  be a geometrically ruled surface over  $C$ ”.

# Ruled and Geometrically Ruled Surfaces

An example of a geometrically ruled surface



# Ruled and Geometrically Ruled Surfaces

Warning: Some authors (e.g. Harthorne) use the word ruled for what we call geometrically ruled and use birationally ruled for what we call ruled.

# Ruled and Geometrically Ruled Surfaces

Let  $C$  be a smooth curve.

## Example

1. The surface  $C \times \mathbb{P}^1$  is a ruled surface and a geometrically ruled over  $C$ .
2. Let  $\mathcal{E}$  be a rank 2 vector bundle over  $C$ , then the associated projective bundle  $\mathbb{P}_C(\mathcal{E})$  is a ruled surface (since it is locally trivial) and via the bundle projection  $\pi: \mathbb{P}_C(\mathcal{E}) \rightarrow C$  it becomes a geometrically ruled surface over  $C$ .
3. Every rational surface is ruled.

# Not Every Ruled Surface is Geometrically Ruled

The surface  $\mathbb{P}^2$  is not a geometrically ruled surface over any curve  $C$ :

*non-constant*

Suppose  $p: \mathbb{P}^2 \rightarrow C$  is a smooth morphism to a smooth projective curve  $C$ . Let  $F$  be a fibre of  $p$ , then  $F^2 = 0$ .

Since  $F$  is a non-zero effective divisor on  $\mathbb{P}^2$  we have  $F \sim dH$  for some  $d > 0$  and some line  $H$  in  $\mathbb{P}^2$ . We find  $F^2 = (dH)^2 = d^2 > 0$  which is a contradiction.

Are there examples of surfaces that are geometrically ruled over some curve but are not ruled?

# The Noether-Enriques Theorem

## Theorem (Noether-Enriques)

Let  $S$  be a surface and  $p: S \rightarrow C$  a morphism to a smooth curve  $C$ . Suppose that there exists  $x \in C$  such that  $p$  is smooth over  $x$  and  $p^{-1}(x) \cong \mathbb{P}^1$ . Then there is an open neighbourhood  $U$  of  $x$  and an isomorphism  $\phi: p^{-1}(U) \rightarrow U \times \mathbb{P}^1$  such that the diagram

$$\begin{array}{ccc} p^{-1}(U) & \xrightarrow{\phi} & U \times \mathbb{P}^1 \\ & \searrow p \quad \swarrow \text{pr}_1 & \\ & U & \end{array}$$

commutes. In particular  $S$  is ruled.

# The Noether-Enriques Theorem

From the Noether-Enriques Theorem we immediately see that any geometrically ruled surface is ruled, we can choose an point of  $C$  and apply the theorem.

Denote the fibre of the point from the theorem by  $F = p^{-1}(x)$ . We will proceed in three steps:

1. Prove that  $H^2(S, \mathcal{O}_S) = 0$ .
2. Use the previous fact to construct a divisor  $H$  of  $S$  with  $H.F = 1$ .
3. Use this  $H$  to construct the isomorphism.

# Proof of the Noether-Enriques Theorem: Step 1

**Proof.**

Since  $F$  is a fibre we have  $F^2 = 0$ . The genus formula reads

$$0 = g(F) = 1 + \frac{1}{2}(F^2 + F \cdot K) = 1 + \frac{1}{2}F \cdot K$$

$$\implies F \cdot K = -2$$

Suppose now that  $H^2(S, \mathcal{O}_S) \neq 0$ , by Serre duality  $H^0(S, \omega_S)$  so there is  $D \neq 0$  with  $D \sim K$  (because  $|K| \neq \emptyset$ ).

Then  $F \cdot D = F \cdot K = -2$  but also  $F \cdot D \geq 0$  because  $D$  is effective,  $F$  is irreducible with  $F^2 \geq 0$ , a contradiction, so

$$\implies H^2(S, \mathcal{O}_S) = 0.$$

## Proof of the Noether-Enriques Theorem: Step 2

Recall the homomorphism  $c_1: \text{Pic}(S) \cong \text{Pic}(S^{\text{an}}) \rightarrow H^2(S^{\text{an}}, \mathbb{Z})$  satisfies

$$D \cdot D' = c_1(\mathcal{O}_S(D)) \cup c_1(\mathcal{O}_S(D'))$$

Serre's GAGA Theorem 1 and step 1, give

$$0 = H^2(S, \mathcal{O}_S) \cong H^2(S^{\text{an}}, \mathcal{O}_{S^{\text{an}}})$$

$\implies c_1$  is surjective.

Let  $f = c_1(\mathcal{O}_S(F))$  it suffices to show that there is

$$h \in H^2(S^{\text{an}}, \mathbb{Z}) \text{ with } h \cup f = 1$$

(because we can choose any preimage to obtain the desired  $H$ ).

## Proof of the Noether-Enriques Theorem: Step 2

The cup product is bilinear

$$\Rightarrow I = \{a \cup f \mid a \in H^2(S^n, \mathbb{Z})\}$$

is an ideal in  $\mathbb{Z}$ . So there is a  $d \in \mathbb{Z}$  with  $I = d\mathbb{Z}$ .

We want to show that  $d = \pm 1$

Consider the  $\mathbb{Z}$ -linear map

$$H^2(S^n, \mathbb{Z}) \rightarrow \mathbb{Z}, a \mapsto \frac{1}{d}(a \cup f)$$

By Poincaré duality

$$\begin{aligned} \operatorname{Hom}_{\mathbb{Z}}(H^2(S^n, \mathbb{Z}), \mathbb{Z}) &\cong H_2(S^n, \mathbb{Z}) / \text{torsion} \\ &\cong H^2(S^n, \mathbb{Z}) / \text{torsion} \end{aligned}$$

## Proof of the Noether-Enriques Theorem: Step 2

$\Rightarrow$  there is  $f' \in H^2(S^{\text{an}}, \mathbb{Z})$  with

$$a \cup f' = \frac{1}{2}(a \cup f) \quad \text{for all } a \in H^2(S^{\text{an}}, \mathbb{Z})$$

for all  $a \in H^2(S^{\text{an}}, \mathbb{Z})$  and thus

$$2f' = f \in H^2(S^{\text{an}}, \mathbb{Z})/\text{torsion}$$

since the cup product is a perfect pairing on  $H^2(S^{\text{an}}, \mathbb{Z})/\text{torsion}$ .

Let  $h = c_1(w_3)$  then

$$f \cup h = F \cdot K = -2.$$

Let  $C'$  be an irreducible curve on  $S$ , write  $c_1(\mathcal{O}_S(C')) = c'$ , then  $c' \cup c' + c' \cup k$  is even since

## Proof of the Noether-Enriques Theorem: Step 2

$$c' \cup c' + c' \cup k = c'^2 + c' \cdot K = 2(p_a(c') - 1)$$

If  $D = \sum_i n_i C'_i$  is any divisor on  $S$  and  $c'_i = c_1(\mathcal{O}_S(C'_i))$ . We compute

$$\begin{aligned} & \left( \sum_i n_i c'_i \right) \cup \left( \sum_i n_i c'_i \right) + \left( \sum_i n_i c'_i \right) \cup k \\ &= \sum_{i \neq j} n_i n_j (c'_i \cup c'_j) + \sum_i n_i^2 (c'_i \cup c'_i) + \sum_i n_i (c'_i \cup k) \\ &\equiv \sum_i n_i (c'_i \cup c'_i + c'_i \cup k) \equiv 0 \pmod{2}. \end{aligned}$$

$\Rightarrow$   $c'$  image of any divisor, the same holds

## Proof of the Noether-Enriques Theorem: Step 2

We have  $f \cup f = F^2 = 0$  and  $f \cup h = F \cdot K = -2$   
 $\Rightarrow f' \cup f' = 0$  and  $f' \cup h = \frac{1}{\alpha} f \cup h = -\frac{2}{\alpha}$   
 $\Rightarrow f' \cup f' + f' \cup k = -\frac{2}{\alpha}.$

But  $f' \cup f' + f' \cup k$  has to be an even number, so

$$\Rightarrow \alpha = \pm 1.$$

## Proof of the Noether-Enriques Theorem: Step 3

Let  $H$  be a divisor of  $S$  with  $H.F = 1$ . Since

$$\deg_F \mathcal{O}_S(H+rF)|_F = (H+rF).F = 1$$

for all  $r \in \mathbb{Z}$  we have an exact sequence

$$0 \longrightarrow \mathcal{O}_S(H + (r-1)F) \longrightarrow \mathcal{O}_S(H + rF) \longrightarrow \mathcal{O}_F(1) \longrightarrow 0$$

which induces an exact sequence of  $\mathbb{C}$ -vector spaces

$$\begin{array}{ccccc} H^0(S, \mathcal{O}_S(H + rF)) & \xrightarrow{a_r} & H^0(F, \mathcal{O}_F(1)) & \searrow & \\ & & \downarrow c_r & & \\ \hookrightarrow H^1(S, \mathcal{O}_S(H + (r-1)F)) & \xrightarrow{b_r} & H^1(S, \mathcal{O}_S(H + rF)) & \longrightarrow & 0 \end{array}$$

## Proof of the Noether-Enriques Theorem: Step 3

$\mathcal{O}_S(H + rF)$  is coherent for every  $r \in \mathbb{Z}$ , so

$$h_r = \dim_{\mathbb{C}} H^1(S, \mathcal{O}_S(H + rF)) < \infty$$

$b_r$  is surjective for every  $r \in \mathbb{Z}$  we obtain a decreasing sequence

$$\cdots \geq h_{r-1} \geq h_r \geq \cdots$$

which must stabilise.

$\implies$  there is an  $r \in \mathbb{Z}$  such that  $b_r$  is an isomorphism.

By exactness we have

$$\operatorname{im} a_r = \ker c_r \text{ and } \operatorname{im} c_r = \ker b_r = 0$$

$\implies \operatorname{im} a_r = H^0(F, \mathcal{O}_F(1)).$

## Proof of the Noether-Enriques Theorem: Step 3

Choose  $s_0, s_1 \in H^0(S, \mathcal{O}_S(H + rF))$  with

$$\alpha_1(s_0) = x_0 \quad \text{and} \quad \alpha_1(s_1) = x_1$$

let  $V = \text{span}(s_0, s_1)$ ,  $\mathcal{V} = \mathbb{P}(V)$  and let  $P$  the corresponding linear system.

$$\implies (\text{Bs } \mathcal{V}) \cap F = \emptyset$$

Let  $\Gamma$  be an irreducible component in  $\text{Bs } \mathcal{V}$ , then

$$\Gamma \cdot F = 0$$

## Proof of the Noether-Enriques Theorem: Step 3

**Claim:**  $\Gamma$  is contained in a fibre.

Suppose  $\Gamma$  is not contained in a fibre then  
we can find a fibre  $F'$  s.t.  $\Gamma$  and  $F'$  have  
no common irreducible components

$$\Rightarrow F' \cdot \Gamma \geq 1$$

Contradicting  $F \cdot \Gamma = 0$ .

## Proof of the Noether-Enriques Theorem: Step 3

## Proof of the Noether-Enriques Theorem: Step 3

Suppose  $\Gamma_1, \dots, \Gamma_k$  are the irreducible fixed components of  $P$  and  $\Gamma_i$  is contained in  $F_i = p^{-1}(x_i)$  distinct from  $F$ .

Every element of  $P$  is of the form

$$n_1\Gamma_1 + \dots + n_k\Gamma_k + D' \text{ with } n_i \in \mathbb{Z}_{>0}$$

components

where  $D'$  moves in a 1-dimensional linear system without fixed points

base locus of the mobile part = fin. many points

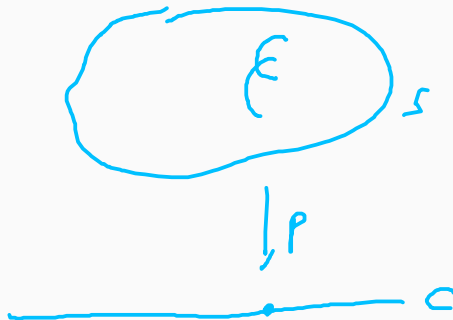
contained in fibres  $F_{k+1} = p^{-1}(x_{k+1}), \dots, F_l = p^{-1}(x_l)$  distinct from  $F$ .

## Proof of the Noether-Enriques Theorem: Step 3

Let  $x_{l+1}, \dots, x_m$  be the points of  $X$  with reducible or non-reduced fibre and set

$$U = C \setminus \{x_1, \dots, x_m\}$$

← don't want this



## Proof of the Noether-Enriques Theorem: Step 3

$P'$  = the restriction of  $P$  to  $p^{-1}(U)$ ,

$\Rightarrow P'$  is base point free

Let  $D \in V$  then

$$D \neq 0, \quad D \sim H + rF$$

so

$$D \cdot F = (H + rF) \cdot F = 1$$

$\Rightarrow D$  intersects every fibre.

If  $F'$  is a fibre such that  $F'$  and  $D$  have no common irreducible component

$\Rightarrow F'$  and  $D$  meet transversally in one point.

## Proof of the Noether-Enriques Theorem: Step 3

**Claim:**  $D$  is the union of a section and fibres.

We have

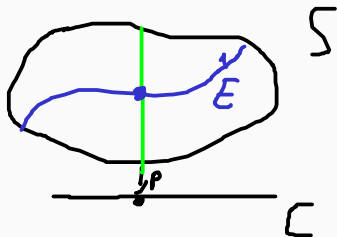
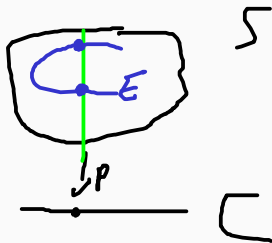
$$D = m_1 C_1 + \dots + m_s C_s + E$$

where  $C_1, \dots, C_s$  are the irreducible components contained in a fibre,  $E$  has no common irred. component with any fibre.

$$\begin{aligned}\Rightarrow 1 = D \cdot F &= m_1 C_1 \cdot F + \dots + m_s C_s \cdot F + E \cdot F \\ &= E \cdot F\end{aligned}$$

## Proof of the Noether-Enriques Theorem: Step 3

$\Rightarrow E$  intersects every fiber transversally in one point and  $E$  is irreducible



This cannot happen

We get the desired section by

$x \mapsto \text{point in } p^{-1}(x) \cap E.$

## Proof of the Noether-Enriques Theorem: Step 3

**Claim:** Every element in  $P'$  is a section of  $p$ .

We have  $P' \cong \mathbb{P}^1$  so  $P' = \{C_t \mid t \in P'\}$   
and if  $C_t, C_{t'} \in P'$  with  $C_t \neq C_{t'}$   
generate  $P'$ .

Suppose  $C_t$  contains a fibre then

$C_{t'} \cdot F = 1 \Rightarrow C_{t'} \cap F \neq \emptyset \Rightarrow C_t \cap C_{t'} \neq \emptyset$   
 $\Rightarrow$  gives a base locus of  $P'$   $\nabla$  to bpf.

## Proof of the Noether-Enriques Theorem: Step 3

Let

$$g: P^{-1}(u) \rightarrow P'$$

$$y \mapsto t$$

where  $C_t$  is the unique element in  $P'$  passing through  $y$

$$\Rightarrow g^{-1}(t) = C_t$$

Let

$$h: P^{-1}(u) \rightarrow u \times P'$$

$$y \mapsto (p(y), g(y))$$

then for  $(x, t) \in u \times P'$ ,

$$h^{-1}(x, t) = p^{-1}(x) \cap g^{-1}(t) = p^{-1}(x) \cap C_t$$

is one point hence  $h$  is an isomorphism.

# Every Geometrically Ruled Surface is a $\mathbb{P}^1$ -bundle

## Proposition

Let  $C$  be a smooth curve and  $S$  a geometrically ruled surface over  $C$ .

Then there is a rank 2 vector bundle  $\mathcal{E}$  over  $C$  and an isomorphism

$\phi: S \rightarrow \mathbb{P}_C(\mathcal{E})$  such that the diagram

$$\begin{array}{ccc} S & \xrightarrow{\phi} & \mathbb{P}_C(\mathcal{E}) \\ & \searrow p & \swarrow \pi \\ & C & \end{array}$$

commutes. Furthermore two  $\mathbb{P}^1$ -bundles  $\mathbb{P}_C(\mathcal{E})$  and  $\mathbb{P}_C(\mathcal{E}')$  are isomorphic (as bundles over  $C$ ) if and only if there is a line bundle  $\mathcal{L}$  on  $C$  with  $\mathcal{E}' \cong \mathcal{E} \otimes \mathcal{L}$ .

# Every Geometrically Ruled Surface is a $\mathbb{P}^1$ -bundle

## Proof.

By the Noether-Enriques Theorem there is an open cover  $\{U_i\}_{i \in I}$  of  $C$  and isomorphism  $\phi_i: p^{-1}(U_i) \rightarrow U_i \times \mathbb{P}^1$  such that the diagram

$$\begin{array}{ccc} p^{-1}(U_i) & \xrightarrow{\phi_i} & U_i \times \mathbb{P}^1 \\ & \searrow & \swarrow \\ & U_i & \end{array}$$

commutes, so  $S$  is a  $\mathbb{P}^1$ -bundle over  $C$ .

We have to show that every  $\mathbb{P}^1$ -bundle over  $C$  is the projectivization of a rank two vector bundle on  $C$ .

Recall the identifications of  $\check{H}^1(C, \mathrm{GL}(2, \mathcal{O}_C))$  and  $\check{H}^1(C, \mathrm{PGL}(2, \mathcal{O}_C))$  with the isomorphism classes of rank two vector bundles and  $\mathbb{P}^1$ -bundles on  $C$  respectively.

# Every Geometrically Ruled Surface is a $\mathbb{P}^1$ -bundle

From the exact sequence of sheaves of groups

$$1 \longrightarrow \mathcal{O}_C^* \longrightarrow \mathrm{GL}(2, \mathcal{O}_C) \longrightarrow \mathrm{PGL}(2, \mathcal{O}_C) \longrightarrow 1$$

we obtain an exact sequence of pointed sets

$$\check{H}^1(C, \mathcal{O}_C^*) \longrightarrow \check{H}^1(C, \mathrm{GL}(2, \mathcal{O}_C)) \longrightarrow \check{H}^1(C, \mathrm{PGL}(2, \mathcal{O}_C)) \longrightarrow \check{H}^2(C, \mathcal{O}_C^*)$$

By a theorem of Serre we have  $\check{H}^2(C, \mathcal{O}_C^*) = H^2(C, \mathcal{O}_C^*) = 0$

$\implies$  every  $\mathbb{P}^1$ -bundle on  $C$  is the projectivization of a rank two vector bundle on  $C$ .

The first map is given by the tensor product action of  $\mathrm{Pic}(C)$  on  $\check{H}^1(C, \mathrm{GL}(2, \mathcal{O}_C))$ . By exactness we yield the second claim.

# Minimal Models of $C \times \mathbb{P}^1$ for $C$ Smooth Irrational

We want to classify the minimal models of surfaces birational to  $C \times \mathbb{P}^1$  where  $C$  is a smooth irrational curve.

## Lemma

*Let  $C$  be a smooth curve and  $p: S \rightarrow C$  a surjective morphism with connected fibres. Let  $F = \sum_i n_i C_i$  be a reducible fibre, where the  $C_i$ 's are distinct and irreducible. Then  $C_i^2 < 0$ .*

## Proof.

We have  $n_i \geq 0$  for all  $i$ . Notice that

$$n_i C_i^2 = C_i \cdot (F - \sum_{i \neq j} n_j C_j).$$

Since  $F$  is a fibre we have  $C_i \cdot F = 0$  and furthermore  $C_i \cdot C_j \geq 0$  for all  $i \neq j$ . Finally there must be at least one  $j$  with  $C_i \cap C_j \neq \emptyset$  because  $F$  is connected.

# Minimal Models of $C \times \mathbb{P}^1$ for $C$ Smooth Irrational

## Lemma

Let  $S$  be a minimal surface,  $C$  a smooth curve and  $p: S \rightarrow C$  a surjective morphism with general fibre isomorphic to  $\mathbb{P}^1$ . Then  $S$  is geometrically ruled by  $p$ .

## Proof.

Let  $F$  be a general fibre of  $p$ , then  $F^2 = 0$

$\Rightarrow F \cdot K = -2$  by the genus formula.

Since all fibres are algebraically equivalent

$\Rightarrow$  Both equalities hold for any fibre

# Minimal Models of $C \times \mathbb{P}^1$ for $C$ Smooth Irrational

**Case 1:**  $F$  is an irreducible fibre.

Let  $F$  be irreducible and suppose  $F \sim nF'$  with  $n > 1$

$$\Rightarrow -2 = K \cdot F = n(K \cdot F')$$

$$\Rightarrow n = 2 \text{ and } K \cdot F' = -1$$

Also

$$n^2 F'^2 = F^2 = 0 \Rightarrow F'^2 = 0$$

So  $F'^2 + F' \cdot K = -1$  but we know  $F'^2 + F' \cdot K$  has to be even thus  $n=1$ .

The genus formula then gives  $p_g(F) = 0$

$$\Rightarrow F \cong \mathbb{P}^1$$

# Minimal Models of $C \times \mathbb{P}^1$ for $C$ Smooth Irrational

**Case 2:**  $F$  is a reducible fibre.

Let  $F = \sum_i n_i C_i$  be reducible. By the previous lemma  $C_i^2 < 0$  for all  $i$ .

The genus formula gives

$$C_i \cdot K \geq 2(p_a(C_i) - 1) \geq -2$$

thus

$$C_i \cdot K \geq -1 \text{ and } = -1$$

$$\Leftrightarrow p_a(C_i) = 0$$

$$\Leftrightarrow C_i^2 = -1$$

But then  $C_i$  is a  $(-1)$ -curve on  $S$ , contradicting the minimality of  $S$ .

# Minimal Models of $C \times \mathbb{P}^1$ for $C$ Smooth Irrational

Therefore  $C.K \geq 0$  and hence  $F.K \geq 0$  which contradicts  $F.K = -2$ .

We have shown that there can't be any reducible fibres, so we are done.

# Minimal Models of $C \times \mathbb{P}^1$ for $C$ Smooth Irrational

## Theorem

*Let  $C$  be a smooth irrational curve. The minimal models of  $C \times \mathbb{P}^1$  are exactly the geometrically ruled surfaces over  $C$ , i.e. the projective bundles  $\mathbb{P}_C(\mathcal{E})$  for some rank two vector bundle  $\mathcal{E}$  on  $C$ .*

## Proof.

Let  $p: S \rightarrow C$  be a geometrically ruled surface.

Suppose  $S$  contains an exceptional curve  $E$ . Since  $E^2 = -1$ , the curve  $E$  cannot be a fibre of  $p$ .

Thus  $p(E) = C$  which is impossible since  $C$  is irrational  $\implies S$  is a minimal surface.

# Minimal Models of $C \times \mathbb{P}^1$ for $C$ Smooth Irrational

Now let  $S$  be a minimal surface,  $\phi: S \dashrightarrow C \times \mathbb{P}^1$  a birational map and  $q: C \times \mathbb{P}^1 \rightarrow C$  the projection onto the first factor.

By composing, we obtain a rational map  $q \circ \phi: S \dashrightarrow C$ .

By elimination of indeterminacy there is a surface  $S'$ , a morphism  $\eta: S' \rightarrow S$  obtained from a finite number of blow-ups  $\varepsilon_1, \dots, \varepsilon_n$  and a morphism  $f: S' \rightarrow C$  such that the diagram

$$\begin{array}{ccc} & S' & \\ \eta \swarrow & & \searrow f \\ S & \xrightarrow{\quad q \circ \phi \quad} & C \end{array}$$

commutes.

# Minimal Models of $C \times \mathbb{P}^1$ for $C$ Smooth Irrational

Suppose  $n > 1$  is the minimal number of blow-ups needed for such a diagram to exist.

Let  $E$  be the exceptional curve of  $\varepsilon_n$ , it is impossible that  $f(E) = C$ , because  $C$  is irrational

$\implies E$  is mapped to a point, so  $f = f' \circ \varepsilon_n$  which contradicts the minimality of  $n$

$\implies n = 1$  and  $q \circ \phi$  is a morphism with general fibre isomorphic to  $\mathbb{P}^1$ .

Now apply the previous lemma to conclude.

To sum up today's results:

1. Every geometrically ruled surface is ruled.
2. Every geometrically ruled surface is isomorphic to the projectivization of a rank two vector bundle over a smooth curve.
3. Every geometrically ruled surface over an irrational curve is minimal.
4. If  $C$  is an irrational curve, the minimal models of  $C \times \mathbb{P}^1$  are exactly the geometrically ruled surfaces over  $C$ .

**Questions?**

# References

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Thm [Noether - Enriques]  $C$  sm. proj. curve over  $\mathbb{C}$ ,  
 $S$  sm. proj. surface over  $\mathbb{C}$ ,  $p: S \rightarrow C$  s.t.  $x \in C$   
 a fibre  $p^{-1}(x) \simeq \mathbb{P}^1$   
 $\Rightarrow \exists U \subseteq C$  open Zariski nbd of  $x$  s.t.  $p^{-1}(U) \simeq U \times \mathbb{P}^1$

$$\begin{array}{ccc} & p & \searrow \text{pr}_1 \\ & \downarrow & \\ & U & \end{array}$$

More algebraically:  
 $k$  algebraically closed field,  $\begin{array}{c} \text{sm. proj.} \\ \text{surface} \end{array} S \xrightarrow{p} \begin{array}{c} \text{sm.} \\ \text{proj. curve} \end{array} C$  morphism over  $k$   
 $\exists x \in C(k)$  s.t.  $p^{-1}(x) \simeq \mathbb{P}_k^1$

then  $p^{-1}(\eta) \simeq \mathbb{P}_{k(\eta)}^1$

$\searrow \quad \swarrow$   
 $\text{Spec } k(\eta)$

where  $\eta$  is the generic point of  $C$   
 $k(\eta) = K(C)$  " field of rational functions over  $C$   
 residue field of  $\eta$

Def  $K$  field. A BRAUER-SEVERI VARIETY over  $K$  is  $X \rightarrow \operatorname{Spec} K$  proper scheme of finite type such that  $\exists L \supseteq K$  field extension and  $X_L := X \otimes_K L \xrightarrow{\sim} \mathbb{P}_L^1$   
 $\uparrow$   
 isom. of  $L$ -schemes

It is enough to take  $L = \bar{K}$  algebraic closure, or  $L$  a finite Galois extension of  $K$ .

Examples : •  $X = \mathbb{P}_K^1$

•  $K = \mathbb{R}$ ,  $X = \operatorname{Proj} \mathbb{R}[x_0, x_1, x_2] / (x_0^2 + x_1^2 + x_2^2)$

$$X_{\mathbb{C}} = \operatorname{Proj} \mathbb{C}[x_0, x_1, x_2] / (x_0^2 + x_1^2 + x_2^2) \cong \mathbb{P}_{\mathbb{C}}^1$$

but  $X \not\cong \mathbb{P}_{\mathbb{R}}^1$        $X(\mathbb{R}) = \emptyset$

Prop  $X$  BS-variety over  $K$  of dim 1.

1)  $X$  is a conic in  $\mathbb{P}_K^2$ :  $\exists q \in K[x_0, x_1, x_2]_2$  s.t.

$$X = \text{Proj } K[x_0, x_1, x_2] / (q)$$

2)  $X \cong \mathbb{P}_K^1 \iff X(K) \neq \emptyset$ .

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Pf 1)  $\omega_X^\vee$  anticanonical line bundle.

$$\deg \omega_X^\vee = 2 \Rightarrow \omega_X^\vee \text{ very ample}$$

$$h^0(\omega_X^\vee) = 3 \Rightarrow \text{the map associated to } \omega_X^\vee \text{ is}$$

$$X \hookrightarrow \mathbb{P}_K^2$$

2)  $\Rightarrow$ ) obvious.

$\Leftarrow$ ) by 1 you know that  $X \hookrightarrow \mathbb{P}_K^2$ .  $p \in X(K)$ .

Projection from  $p$ :  $\mathbb{P}_K^2 \setminus \{p\} \rightarrow \mathbb{P}_K^1$ , restrict to  $X$ .  $\square$

Theorem (Tsen)  $k$  algebraically closed field

$C$  smooth proj. curve over  $k$

$K = K(C)$  function field of  $C$

$\Rightarrow$  every Brauer - Severi variety over  $K$  of  
dim 1 is isom. to  $\mathbb{P}_K^1$ .

- 
- Brauer - Severi varieties
  - central simple algebras
  - Brauer group